

**MINUTES OF  
THE 2024 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

- *Company name: Everest Securities Joint Stock Company.*
- *Business registration number: 0102121331*
- *Head office: Floor 3rd, 6th, 9th, No. 2A Dai Co Viet, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City*
- *Opening time: 09:00 April 25, 2024*
- *Form of organization of General Meeting of Shareholders ("GMS"): Online*
- *Venue for the General Meeting of Shareholders: 7th Floor, No. 2A Dai Co Viet, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City*

The 2024 Annual General Meeting of Shareholders of Everest Securities Joint Stock Company ("Company"/"EVS") took place with the following contents:

**I. Participants**

Shareholders, authorized representatives of the Company's shareholders in the shareholder list closed on 28/03/2024. The detailed list of attendees is recorded in the Appendix of the list of registered shareholders and shareholder representatives attending the meeting.

**II. Procedures at the Meeting:**

**1. Introduction to the Shareholder Qualification Examination Board:**

- Ms. Le Thi Duyen – Deputy Head of Legal Department: Head of the Board
- Mr. Nguyen Manh Tien – Deputy Head of Corporate Finance Consulting Department 1: Member
- Ms. Nguyen Minh Thanh – Office Specialist of the Board of Directors ("BOD"): Member

**2. Approval of the Shareholder Qualification Check Report:**

Ms. Le Thi Duyen - Head of the Shareholders' Qualification Examination Board read the Report on the examination of shareholders' qualifications to attend the 2024 Annual GMS ("General Meeting of Shareholders/GMS") at 09:25 on 25/04/2024 as follows:



- Total number of shareholders entitled to attend the meeting (according to the list of shareholders as of 28/03/2024): **2,401** shareholders, owning **164,800,618** shares, equivalent to 100% of the total number of voting shares of the Company.
- At the opening of the Meeting: there were **23** delegates attending representing **29** shareholders, owning and representing **144,908,456** shares/voting votes, corresponding to **87.93%** of the Company's shares/voting votes.

Pursuant to the provisions of law and the Company's Charter, the Company's 2024 Annual General Meeting of Shareholders is eligible to proceed.

### **III. Approval of the Presidium, the Vote Counting Committee, and appoint the Congress Secretariat**

#### **1. Presidium of the Congress:**

- Mr. Nguyen Hai Chau – Chairman of the Board of Directors: Chairman of the Meeting.
- Ms. Do Thi Hong Hai – Independent Member of the Board of Directors: Member.
- Mr. Pham Hong Minh - General Director – Member.

**The General Meeting of Shareholders voted to approve the composition of the Presidium as follows:**

- Voting method: Electronic voting.
- Total number of votes on the voting issue: **144,952,968** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,952,968** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting..
- Voting results:
  - Total number of votes in favor: **144,952,968** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

***The content was approved with 100% of the total votes of the shareholders***



*attending and voting in favor..*

**2. Congress Secretariat:**

The Congress Chairman appointed the Secretariat including:

- Ms. Nguyen Thi My Ngoc - Chief of Office of the Board of Directors - Head of the Committee
- Ms. Trieu Thi Trang - Deputy Head of Legal Department - Member

**3. Vote Counting Committee:**

- Mrs. Luu Duc Hanh - Head of the Legal Department – Chairperson
- Mrs. Le Thi Thuy - Financial Consulting Specialist 1 - Member
- Mr. Truong Duc Viet - Head of Financial Consulting Department 3 - Member

The General Meeting of Shareholders voted to approve the composition of the Vote Counting Committee as follows:

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,952,968** votes. Including:
  - Total number of invalid votes: **128** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,952,840** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results:
  - Total number of votes in favor: **144,952,840** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor..*

- 4. Disseminate the Working Regulations of the 2024 Annual General Meeting of Shareholders.**
- 5. Approval of the agenda of the 2024 Annual General Meeting of Shareholders:**



Mr. Pham Hong Minh - member of the Presidium read the agenda of the 2024 Annual General Meeting of Shareholders. Specifically as follows:

- Report of the Board of Directors (BOD) on governance and the performance of the BOD and each BOD member in 2023, along with the operational plan for 2024.
- Report on the activities of the independent BOD member in the Audit Committee ("AC") in 2023.
- Report on the business performance in 2023 and the operational plan for 2024 from the Board of General Director.
- Approval of the audited financial statements for 2023 and the profit distribution plan for 2023.
- Approval of the selection of the auditing firm for the financial statements and other reports as required for 2024.
- Approval of the remuneration settlement for the BOD and AC for 2023 and the remuneration plan for the BOD and AC for 2024.
- Approval of the proposal regarding the dismissal of a BOD member.
- Other matters within the authority of the General Meeting of Shareholders.

The General Meeting of Shareholders proceeded to vote on the approval of the meeting agenda as follows:

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,952,968** votes. Including:
  - Total number of invalid votes: **128** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,952,840** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,952,840** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.



*The agenda was approved with 100% of the total votes of the shareholders attending and voting in favor.*

**IV. The contents presented at the 2024 Annual General Meeting of Shareholders:**

1. Report of the Board of Directors (BOD) on governance and the performance of the BOD and each BOD member in 2023, and the operational plan for 2024 (*details in Report No. 01/2024/BC-HĐQT dated 03/04/2024 of the BOD*).
2. Report on the activities of the Independent BOD Member in the Audit Committee in 2023 (*details in Report No. 01/2024/BC-UBKT dated 03/04/2024 of the Independent BOD Member*).
3. Report on business activities in 2023 and the operational plan for 2024 from the Executive Board (*details in Report No. 05/2024/BC-TGD dated 04/04/2024 of the Executive Board*).
4. Audited financial statements for 2023 and the profit distribution plan for 2023 (*details in Proposal No. 01/2024/TT-HĐQT dated 03/04/2024 of the BOD*).
5. Proposal for the selection of an auditing firm for the financial statements and other reports as required for 2024 (*details in Proposal No. 02/2024/TT-HĐQT dated 03/04/2024 of the BOD*).
6. Proposal on the remuneration settlement for the BOD and Audit Committee for 2023 and the remuneration plan for the BOD and Audit Committee for 2024 (*details in Proposal No. 03/2024/TT-HĐQT dated 03/04/2024 of the BOD*).
7. Proposal regarding the dismissal of a BOD member (*details in Proposal No. 04/2024/TT-HĐQT dated 23/04/2024 of the BOD*).

**V. Discussion Section – Shareholder Feedback**

Summary of questions and answers as follows:

Shareholder Nguyen Manh Tuan: Is the Company about to deploy derivative services?

Mr. Nguyen Hai Chau - Chairman replied: The Company has deployed since 2020 and will continue to do so.

Shareholders discussed and agreed to vote on the contents of the Reports and Proposals at the General Meeting.

**VI. Voting on the contents presented at the Meeting**

The voting results for each content of the Meeting according to the Minutes of the vote count are as follows:

1. **Approval of the Report of the Board of Directors (BOD) on governance and the performance of the BOD and each BOD member in 2023, and the operational plan for 2024**



- a. Voting method: Electronic voting
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor.*

2. **Approval of the Report on the activities of the Independent BOD Member in the Audit Committee (AC) for 2023**
  - a. Voting method: Electronic voting.
  - b. Total number of votes on the voting issue: **144,950,468** votes. Including::
    - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
    - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
  - c. Voting results
    - Total number of votes in favor: **139,670,468** votes, equivalent to **96.33%** of the total votes of the shareholders present, and equivalent to **96.36%** of the total votes of the shareholders attending and voting at the meeting.
    - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.



- Total number of abstentions: **5,280,000** votes, equivalent to **3.64%** of the total votes of the shareholders present, and equivalent to **3.64%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 96.36% of the total votes of the shareholders attending and voting in favor.*

**3. Approval of the Report on the business performance in 2023 and the operational plan for 2024 by the Executive Board:**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor.*

**4. Approval of the audited financial statements for 2023**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.



c. Voting results

- Total number of votes in favor: **139,670,468** votes, equivalent to **96.33%** of the total votes of the shareholders present, and equivalent to **96.36%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, equivalent to **3.64%** of the total votes of the shareholders present, and equivalent to **3.64%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 96.36% of the total votes of the shareholders attending and voting in favor.*

**5. Approval of the profit distribution plan for 2023**

a. Voting method: Electronic voting.

b. Total number of votes on the voting issue: **144,950,468** votes. Including:

- Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.

c. Voting results

- Total number of votes in favor: **139,670,468** votes, equivalent to **96.33%** of the total votes of the shareholders present, and equivalent to **96.36%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, equivalent to **3.64%** of the total votes of the shareholders present, and equivalent to **3.64%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 96.36% of the total votes of the shareholders attending and voting in favor.*

**6. Approval of the selection of the auditing company for financial statements and other reports as required for 2024:**

a. Voting method: Electronic voting.



- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor.*

**7. Approval of the settlement of Board of Directors (BOD) and Audit Committee (AC) remuneration for 2023**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the



shareholders present, and equivalent to 0% of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor.*

**8. Approval of the Board of Directors (BOD) and Audit Committee (AC) remuneration plan for 2024**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The content was approved with 100% of the total votes of the shareholders attending and voting in favor.*

**9. Approval of the Removal of a Board of Directors Member**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **144,950,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **144,950,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results



- Total number of votes in favor: **144,950,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
- Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

***The content was approved with 100% of the total votes of the shareholders attending and voting in favor***

## **VII. Approval of the Minutes and Resolutions of the Annual General Meeting 2024**

### **1. Approval of the Minutes of the Annual General Meeting 2024**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **136,750,468** votes. Including:
  - Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **136,750,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
  - Total number of votes in favor: **136,750,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

***The content was approved with 100% of the total votes of the shareholders attending and voting in favor.***

### **2. Approval of the Resolution of the Annual General Meeting 2024**

- a. Voting method: Electronic voting.
- b. Total number of votes on the voting issue: **136.750.468** votes. Including:



- Total number of invalid votes: **0** votes, accounting for **0%** of the total votes of the shareholders present, and **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of valid votes: **136,750,468** votes, accounting for **99.97%** of the total votes of the shareholders present, and **100%** of the total votes of the shareholders attending and voting at the meeting.
- c. Voting results
- Total number of votes in favor: **136,750,468** votes, equivalent to **99.97%** of the total votes of the shareholders present, and equivalent to **100%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of votes against: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.
  - Total number of abstentions: **0** votes, equivalent to **0%** of the total votes of the shareholders present, and equivalent to **0%** of the total votes of the shareholders attending and voting at the meeting.

*The resolution was approved with 100% of the total votes of the shareholders attending and voting in favor.*

#### **VIII. Closing of the General Meeting**

This minutes was recorded accurately and completely by the Secretariat of the meeting, has been read, and approved by the General Assembly before the conclusion of the session.

The Annual General Meeting 2024 of the Company concluded at 12:00 PM on the same day.

**ON BEHALF OF THE  
SECRETARIAT**



**Nguyen Thi Mi Ngoc**

**ON BEHALF OF THE PRESIDIUM  
CHAIRMAN**



**Nguyen Hai Chau**