



USER MANUAL

ONLINE TRADING USER GUIDE FOR CUSTOMER

(ONLINE TRADING – CUSTOMER)

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I. INTRODUCTION


- Purpose

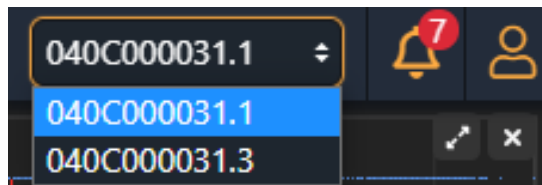
This document is the property of the stock company and describes the functions of the online trading system. It helps customers use the system's features effectively.

- Terms and abbreviations.

Terms and abbreviations.	Explanation/Full Form
EVS	EVS Securities Joint Stock Company
CK	Stock
CN	Branch
CP	Share
GDCK	Stock Trading
HCCN	Transfer Restrictions
KL	Volume
TP	Bonds
PGD	Trading Room

– **General Notes:**

1. Click the button  that appears on the screens to export the report to an Excel or PDF file.
2. The system allows customers to select sub-accounts in every tab by clicking on the sub-account field at the top right corner of the screen.



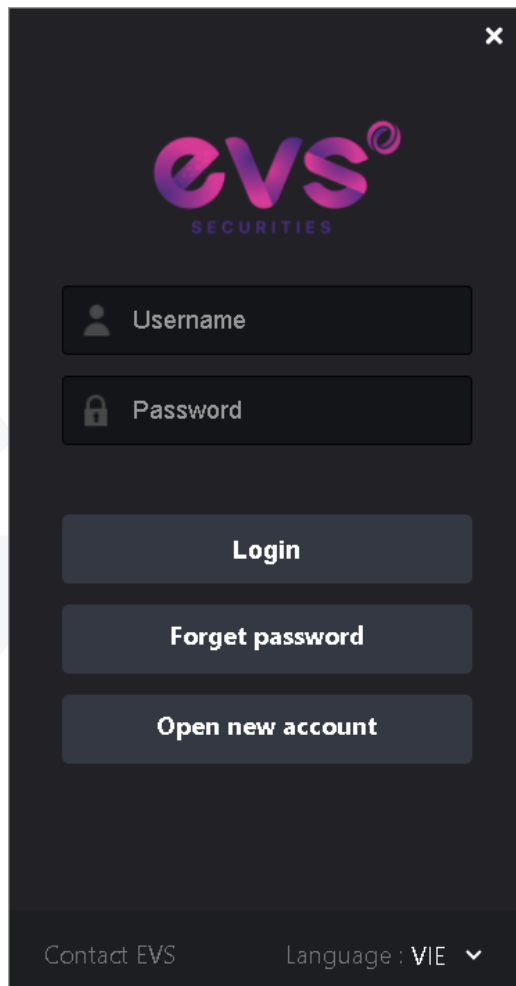
II. LOG IN TO THE SYSTEM

2.1. Log in to the system

- To use the system, please visit the following address: <https://trade.eves.com.vn/evrtrade/priceboard/AAA> and click “Log In” in the upper right corner of the screen.
- The login screen will appear as shown below:

Step 1: Log In: Enter the following information

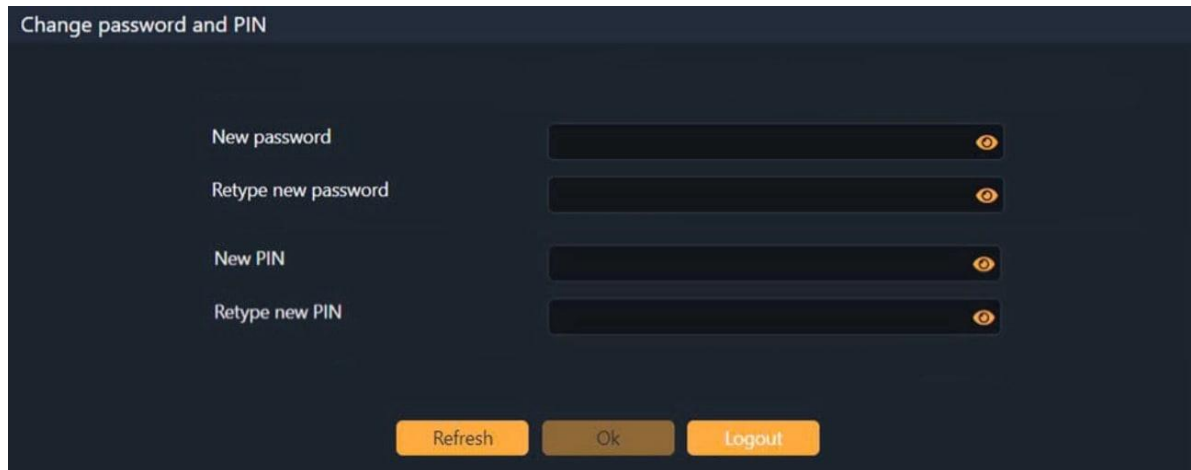
- **Username:** <Your stock account at the stock company>



- **Password:** <The login password provided by the stock company>.
- Click the “Log In” button to access the system.

Step 2: Change the login password (for first-time login accounts):

To ensure the security of your account, we recommend changing your login password and PIN (trading password) immediately after logging into the system.



Change password and PIN

New password

Retype new password

New PIN

Retype new PIN

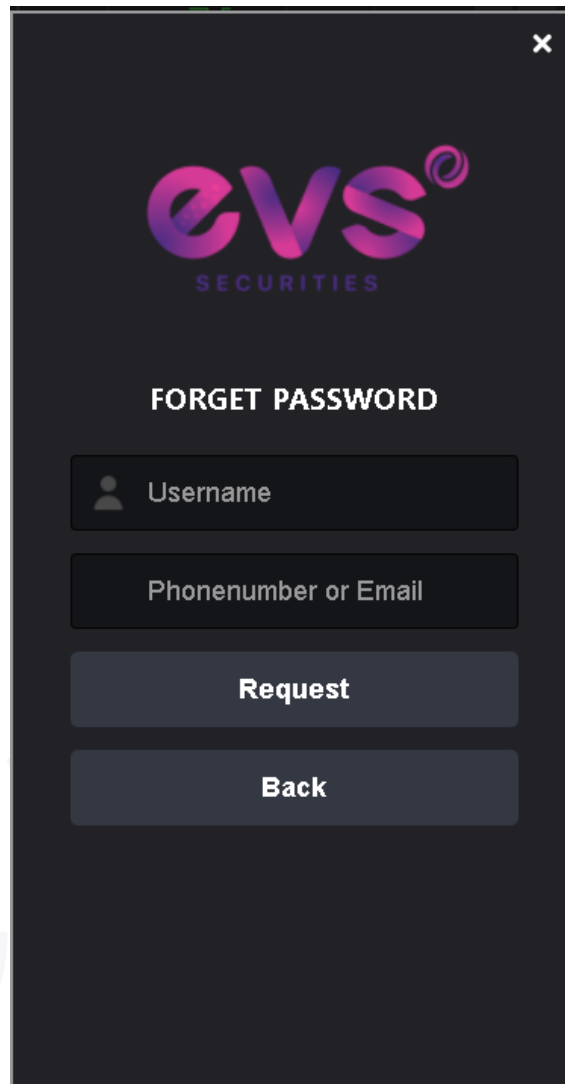
Refresh Ok Logout

- **New Password:** Enter a new password of your choice. The new password must be at least 8 characters long and must include letters, numbers, and special characters.
- **Re-enter New Password:** Re-enter the new password exactly as entered above.
- **New PIN:** Enter a new PIN of your choice.
- **Re-enter New PIN:** Re-enter the new PIN exactly as entered above.
- Click the “Accept” button to confirm the change of password and PIN.
- Click “Refresh” to clear all entered information.
- The password and PIN you enter are displayed as •••••. Click the eye icon at the end to show or hide the password/PIN.

2.2. Forgot Password

Step 1: If a customer forgets their login password or trading PIN, they can reset them by clicking on the "Forgot Password" option on the login screen.

SECURITIES



×

evs
SECURITIES

FORGET PASSWORD

Username

Phonenumber or Email

Request

Back

Step 2: Enter the following information:

- **Username:** Enter your stock trading account at the stock company.
- **Phone or Email:** Enter the registered mobile phone number or email address for receiving notifications.
- Click **Request**, and the system will send a verification code to the phone number or email that the customer has registered.
- Click **Back** to return to the login screen.

Step 3: Enter the following information:

CHANGE PASSWORD

Old password

New password

Retype new password

Requirements: New password must include a minimum of 8 characters in which numbers and letters and special characters are required

Refresh
Next

CHANGE PIN

Old PIN

New PIN

Retype new PIN

Refresh
Next

- **Old Password:** Enter the verification code sent to the customer's phone number or email.
- **New Password:** Enter a new password of your choice. The new password must be at least 8 characters long and must include numbers and uppercase letters.
- **Re-enter New Password:** Re-enter the new password exactly as chosen in the previous step.
- **Old PIN:** Enter the PIN that was just sent to the customer's phone number or email.
- **New PIN:** Enter a new PIN of your choice.
- **Re-enter New PIN:** Re-enter the new PIN exactly as entered above.
- The password and PIN you enter are displayed as •••••. Click the icon at the end to show or hide the password/PIN.
- Click **Accept** to reset the password and PIN. Once the password is successfully changed, the system will redirect to the login screen.
- Click **Refresh** to return to the verification code request screen.

2.3. Choose language

The system supports customers to choose one of two languages: Vietnamese and English. Customers can choose the language in two ways:

2.3.1. Select language on login screen


Select language under “Login” button

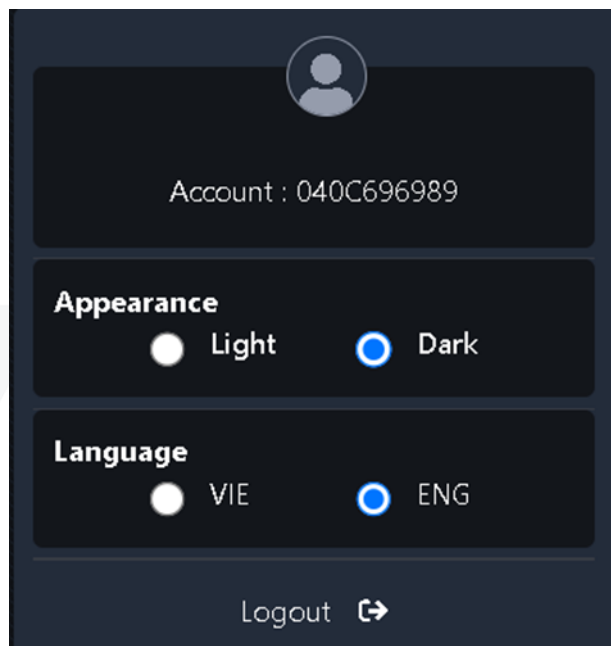
- When you want to use Vietnamese language, click on “Vietnamese” or VIE.
- When you want to use English language, click on “English” or ENG.

2.3.2. Select language after login

Click on the icon  and select a language.

2.4. Account information

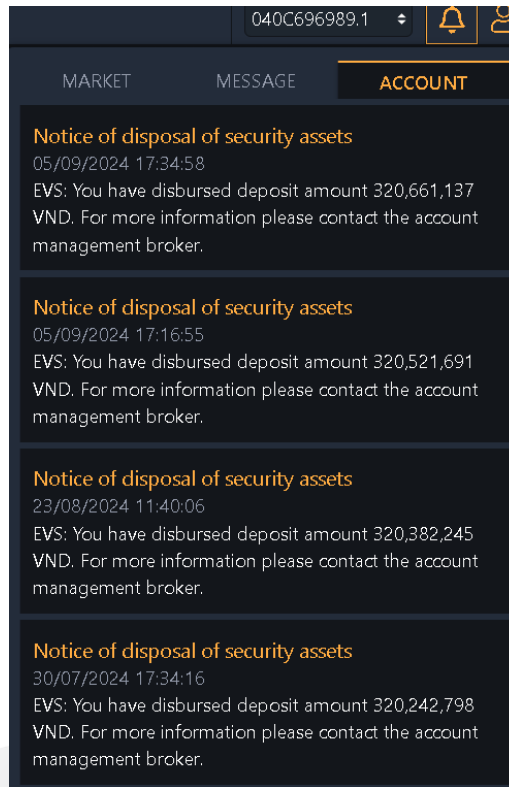
Customers can view information of the account they are logged in to by clicking on the icon 



Information includes: Account (Login name) is the customer's trading account number.

2.5. View notification details

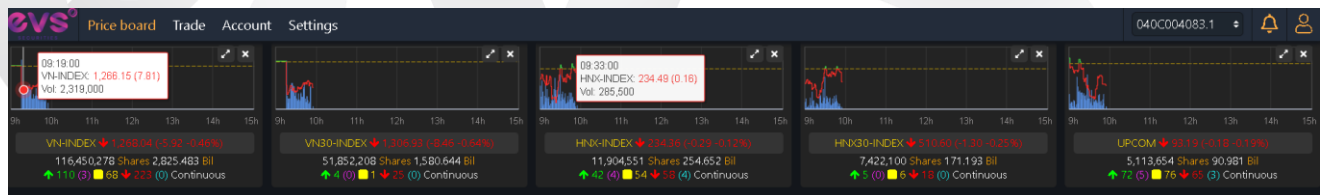
Customers click on the bell icon in the right corner of the screen to view advertisements, order confirmation notifications, price increase/decrease notifications, etc.



III. FUNCTIONS

3.1. Price list

Price Board Interface:



Customers search for stock codes by entering the desired stock code into the "Add or Search" field:

Add or Search		Portfolio		HOSE		HNX		UPCOM		Industry		Bonds		Warrant		Odd lot		Analytic Tools											
Symbol	Exchange	Ref	Ceiling	Floor	Prc 3	Vol 3	Prc 2	Vol 2	Prc 1	Vol 1	Price	Vol	+/-	Prc 1	Vol 1	Prc 2	Vol 2	Prc 3	Vol 3	T. Vol	High	Avg	Low	Bid	Ask	Bought	Foreign		
AAX	HOSE	9.90	10.55	9.21	9.85	4,30	9.84	78,30	9.85	28,60	9.85	40	-0.05	9.88	2,90	9.87	1,60	9.88	13,40	783,90	9.89	9.79	9.70				17,10		
AAM	HOSE	7.10	7.59	6.61	6.66	1,10	6.70	30	6.78	10	7.00	10	-0.10	7.00	40	7.10	10	7.15	50	2,70	7.00	6.83	6.62						
AAT	HOSE	3.98	4.25	3.71																									
ABR	HOSE	11.90	12.70	11.10	11.30	1,60	11.40	20	11.50	20				12.70	2,00														
ABS	HOSE	4.24	4.53	3.95	4.20	16,10	4.21	6,00	4.22	13,90	4.24	50		4.24	6,40	4.25	1,50	4.29	60	49,60	4.25	4.24	4.24						
ABT	HOSE	42.00	44.90	39.10			39.20	10	39.30	50				41.90	10	42.10	50	42.20	50										
ACB	HOSE	24.60	26.30	22.90	24.25	116,20	24.30	267,70	24.35	68,60	24.35	10	-0.25	24.40	125,80	24.45	169,10	24.50	65,50	1,344,30	24.60	24.38	24.30					50,00	
ACC	HOSE	13.85	14.80	12.90	13.65	10,20	13.75	10,10	13.80	80	13.80	1,20	-0.05	13.95	10	14.00	1,70	14.05	30	1,20	13.80	13.80	13.80						
ACG	HOSE	41.80	44.70	38.90	41.05	1,10	41.10	20	41.20	10	41.05	10	-0.75	41.80	2,10	42.50	70	42.95	3,00	3,20	41.05	40.90	40.85					1,00	
ACL	HOSE	11.95	12.75	11.15	11.70	6,10	11.75	1,00	11.80	30	11.40	10	-0.05	11.95	30	12.00	6,90	12.05	50	6,70	12.00	11.90	11.70						
ADG	HOSE	12.75	13.60	11.90	12.05	10	12.10	2,50	12.15	60	12.25	60	-0.50	12.25	1,60	12.80	1,40	12.85	70	1,80	12.25	12.21	12.10					30	
ADP	HOSE	31.90	33.45	29.15	30.70	50	30.80	80	30.85	70	30.45	10	-0.45	31.00	1,10	31.10	60	31.20	50	1,40	31.00	30.90	30.85						

09/09/2024 09:58:29 VN-INDEX ↓ 1,267.98 (-5.98 -0.47%) Continuous HNX-INDEX ↓ 234.36 (-0.29 -0.12%) Continuous UPCOM ↓ 83.19 (-0.17 -0.19%) Continuous Status: CONNECTED

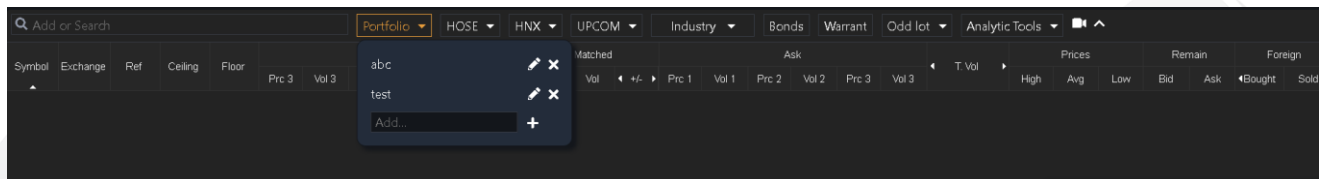
Click the button **Portfolio** to view the stocks currently held by the customer:

Symbol	Exchange	Ref	Ceiling	Floor	Prc 3	Vol 3	Prc 2	Vol 2	Prc 1	Vol 1	Price	Vol	+/-	Prc 1	Vol 1	Prc 2	Vol 2	Prc 3	Vol 3	T. Vol	High	Avg	Low	Bid	Ask	Bought	Sold
VSC	HOSE																										

Hovering over the stock code will display a tooltip with the name of the issuing organization, as shown in the image:

Symbol	Exchange	Ref	Ceiling	Floor	Prc 3	Vol 3	Prc 2	Vol 2	Prc 1	Vol 1	Price	Vol	+/-	Prc 1	Vol 1	Prc 2	Vol 2	Prc 3	Vol 3	T. Vol	High	Avg	Low	Bid	Ask	Bought	Sold
HOSE	HOSE																										

1. Add a New Stock Portfolio:



Step 1: Select the currently held portfolio.

Step 2: Enter the portfolio name you want to add in the "Add New" field.

Step 3: Click the + button to save the portfolio.

2. Edit Portfolio Name: Click the button to edit the portfolio.

3. Delete Portfolio: Click the × button to delete the portfolio.

4. Add Stock to Portfolio:

Step 1: Select the portfolio to which you want to add a stock code.

Step 2: Enter the stock code into the "Add or Search" field.

Symbol	Exchange	Ref	Ceiling	Floor	Prc 3	Vol 3	Prc 2	Vol 2	Prc 1	Vol 1	Price	Vol	+/-	Prc 1	Vol 1	Prc 2	Vol 2	Prc 3	Vol 3	T. Vol	High	Avg	Low	Bid	Ask	Bought	Sold
AVF	UPCOM																										

Step 3: Press Enter or click on the dropdown information to save the stock code to the portfolio.

5. Remove Stock Code from Portfolio: Click the × button next to the stock code to remove it from the portfolio.

3.2. Transaction

3.2.1. Basic order trading

3.2.1.1 Inside Price list

Same as the price board.

3.2.1.2 Intraday orders

Order management screen Daily orders allow users to view information of placed orders. Including

information: Buy/Sell orders, Account number, Sub-account number, Stock code, Order type...

- The last line of the order book has the following information:
 - Order number: is the total number of orders currently in the order book.
 - Total: Order volume, Matched volume, Matched value, Remaining volume.
- Click on the account to view information about matched orders by account

Matched order detail ✕

Username: 040C696989

Symbol	Sum order quantity	Sum exec quantity	Sum Buy value	Sum sell value	Average price
Accountid: 040C696989.3					
▼ VSC	400	400	8,500,000	0	21,250
▼ AAA	1,000	1,000	10,100,000	0	10,100
Total sum			18,600,000	0	

- Click on Sub-Account to view detailed information of matching orders by Sub-Account.

Matched order detail ✕

Username: 040C696989

Symbol	Sum order quantity	Sum exec quantity	Sum Buy value	Sum sell value	Average price
Accountid: 040C696989.3					
▼ VSC	400	400	8,500,000	0	21,250
▼ AAA	1,000	1,000	10,100,000	0	10,100
Total sum			18,600,000	0	

- Click on the order volume to view detailed order information

Orders List Open Positions

Edit/Cancel	Buy/Sell	Customerid	Accountid	Symbol	Quantity	Price	Order Type	Status	Execution Quantity	Execution Amount	Remain Quantity	Remain Amt
	Buy	040C696989	040C696989.3	AAA	1,000	10,100	LO	Sending p	0	0	1,000	10,100,000
	Buy	040C696989	040C696989.3	VSC	400	21,250	MP	Sending p	0	0	400	8,500,000

Orders List Open Positions

Edit/Cancel	Buy/Sell	Customerid	Accountid	Symbol	Quantity	Price	Order Type	Status	Execution Quantity	Execution Amount
	Buy	040C696989	040C696989.3	AAA	1,000	10,100	LO	Sending p	0	0
	Buy	040C696989	040C696989.3	VSC	400	21,250	MP	Sending p	0	0

- Click on the filter box under the column name on the order book, enter search conditions to allow customers to view information according to the entered conditions.

Order detail ✕

Symbol: HSG **Status: All matched**

Buy/Sell: Sell **Order type: LO**

Date	Place Time	Quantity	Price	Execution Quantity	Execution Price	Remain	Cancel Qty	Adjust Qty	Order ID
25/03/2024	16:22:42			100	22,000				
	16:28:12	100	22,000	100					131441
Total sum				100		0			

- Click on the column name to customize the sort order, click once for text data to sort from Z->A, for numeric data to sort in descending order, click twice to sort in reverse order compared to the first time.

Orders List		Open Positions								
⊗	Edit/Cancel	Buy/Sell	Customerid	Accountid	Symbol	Quantity	Price	Order Type	Status	Execution Quantity
■		buy								
■	🔍 ⊗	Buy	040C696989	040C696989.3	AAA	1,000	10,100	LO	Sending p	0
■	⊗	Buy	040C696989	040C696989.3	VSC	400	21,250	MP	Sending p	0

3.2.1.3 Place an order


Step 1: Select the **Trading** tab -> **Basic Order**

Step 2: Order placement screen details:

The screenshot shows the 'Place Order' interface. At the top, there are two input fields for account IDs: '040C696989' and '040C696989.3'. Below these is a toggle switch for 'BUY' (selected) and 'SELL'. A dropdown menu shows 'VSC' selected. Underneath, the company name 'Công ty cổ phần Container Việt Nam' is displayed, followed by 'HOSE' and three price values: '21.25', '19.9', and '18.55'. There are two input fields: 'VOL 1,000' and 'Price ATO'. Below these are several informational rows: 'Amount can buy: 9,466,789,972', 'PPSE: 9,748,311,168', 'Max buy quantity: 457,144', and 'Loan rate: 50'. At the bottom is a large green 'CONFIRM' button.

- **Select the sub-account** for which you want to place an order.
- **Choose Buy/Sell Order:** <The customer selects the type of order they wish to place>.
- **Stock Code:** <Enter the stock code for which you want to place an order>. As you type, a suggestion list of codes will appear. You can use the up and down keys on the keyboard to select a code, then click the mouse or press Enter.

When selecting a stock code, information such as the exchange the stock belongs to, ceiling price, reference price, and floor price will be displayed below. Hovering over the prices will show a tooltip.

- **Volume:** <Enter the volume of the order>. You can use the increase/decrease buttons to adjust the volume. For HOSE exchange codes, the volume increases/decreases by 100, while for HNX and UPCOM exchange codes, it also increases/decreases by 100.
- **Price:** <Enter order price>. The price must be within the floor ceiling for LO orders, click the button  to increase/decrease the price according to the order price step of each floor.

For market orders, customers enter the prices ATO, ATO, MAK, MOK... to place the order.

Step 3: Select the CONFIRM button, and the system will display the screen:

Authenticate orders ✕

Username: **040C696989** Account identifier: **040C696989.3**

	Symbol	Quantity	Order type	Price	Total amount
SELL	AAA	31,300	ATC	9,400	294,220,000

Select auth type: PIN

PIN:

Authenticate for trade next time:

Cancel
Confirm

Authenticate orders ✕

Username: **040C696989** Account identifier: **040C696989.3**

	Symbol	Quantity	Order type	Price	Total amount
BUY	VSC	1,000	ATO	21,250	21,250,000

Select auth type: PIN

PIN:

Authenticate for trade next time:

Cancel
Confirm

Step 4: Check the order information again, enter PIN or OTP code to authenticate the order. Check the button to save authentication for next time.

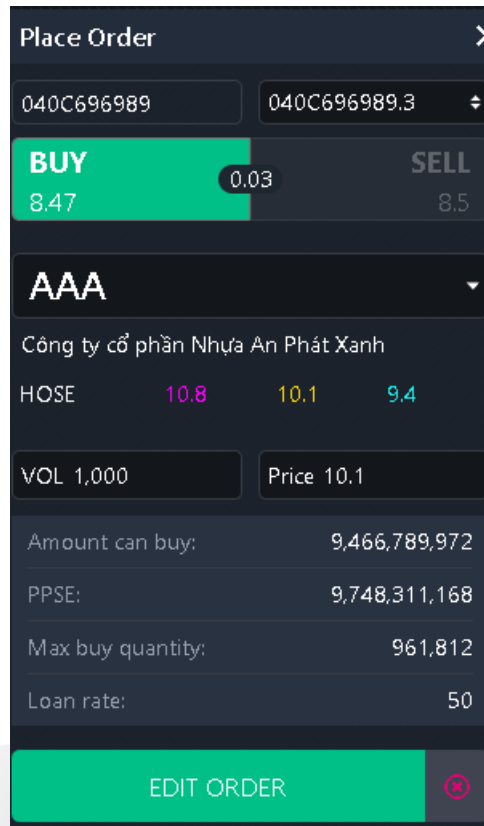
Step 5: Click the button Confirm to place the order. After successful order confirmation, the order will be displayed on the Daily Orders as shown below:


Orders List		Open Positions										
✕	Edit/Cancel	Buy/Sell	Customerid	Accountid	Symbol	Quantity	Price ↑	Order Type	Status	Execution Quantity	Execution Amount	Remain Quantity
■		buy										
■		Buy	040C696989	040C696989.3	AAA	1,000	10,100	LO	Sending p	0	0	1,000
■		Buy	040C696989	040C696989.3	VSC	400	21,250	MP	Sending p	0	0	400

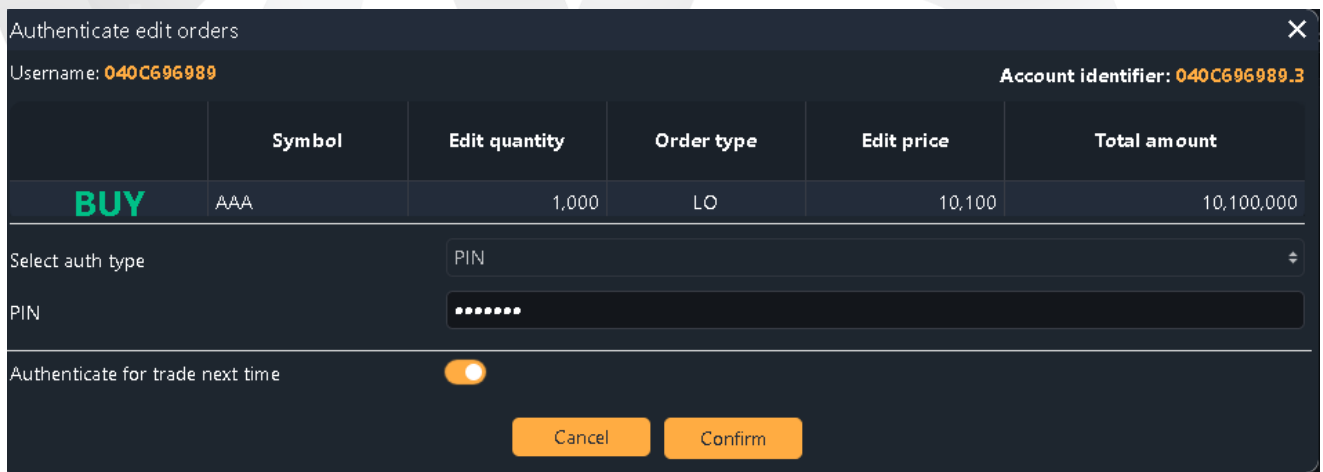
3.2.1.4 Edit order

Orders that are allowed to be edited will display a button on the order line, orders that are not allowed to be edited will not display this button.


Step 1: Click the button in the order book to select the order to edit, the order to edit is on the order placement screen as shown.




Step 2: Re-enter the information to be edited, select Buy/Sell, the system displays the order correction confirmation screen (select  to cancel the order correction operation).



Step 3: Check the order correction information, enter PIN or OTP code to authenticate the order.

Step 4: Click the button  to place the order. After successful order authentication, the correction order will be displayed in the Order of the day.

3.2.1.5 Cancel order

Step 1: Click the button  to select the order the customer wants to cancel on the Daily Orders screen, you can select to cancel multiple orders at the same time.

Step 2: Check the information, confirm the order to cancel.

Authenticate cancel orders ✕

Username: **040C696989**

	Account identifier	Symbol	Remain quantity	Order type	Price	Total amount
BUY	040C696989.3	AAA	1,000	LO	10,100	10,100,000

Select auth type:

PIN:

Authenticate for trade next time:

Cancel
Confirm

Step 3: Click the button Confirm to cancel the order. After successfully confirming the cancellation, the order status in the order book is Canceled.

3.2.1.6 Invest category

- The invest category allows customers to track the stock they currently hold, the expected profit or loss on each security code.
- Customers can place quick orders by selecting the BUY/SELL button on the information line.

Orders List Open Positions ✕

Accountid:

Action	Symbol	Accountid	Total	Trade	Mortgage Quantity	Receiving	Cost Price	Market Price	Unrealized PnL	% Unrealize PnL
Total									-94,849,503	-5.12
BUY	SELL	AAA	51,300	51,300	0	0	11,212.36	8,500	-139,144,068	-24.19
BUY	SELL	GSP	100,000	100,000	0	0	11,482.5	11,850	36,750,000	3.20
BUY	SELL	NDN	12,900	12,900	0	0	10,115.15	10,700	7,544,565	5.78

SECURITIES

3.2.2. Advanced Order Trading

3.2.2.1. Technical analysis chart



3.2.2.2. Order matching history

Allows Customers to view information on matched orders.

Order Match History				
Time	Price	+/-	Vol	Total Vol
11:22:16	8.50	-0.60	1,00	97,80
11:19:13	8.50	-0.60	10	96,80
11:16:58	8.47	-0.63	10	96,70
11:16:35	8.50	-0.60	10	96,60
11:03:06	8.50	-0.60	50	96,50
11:01:34	8.50	-0.60	10,00	96,00
11:01:34	9.00	-0.10	10	86,00
11:01:34	9.00	-0.10	10	85,90
11:01:34	9.10	0	10	85,80
11:01:34	9.10	0	10	85,70
11:01:34	9.50	0.40	5,00	85,60
11:01:34	9.51	0.41	60	80,60

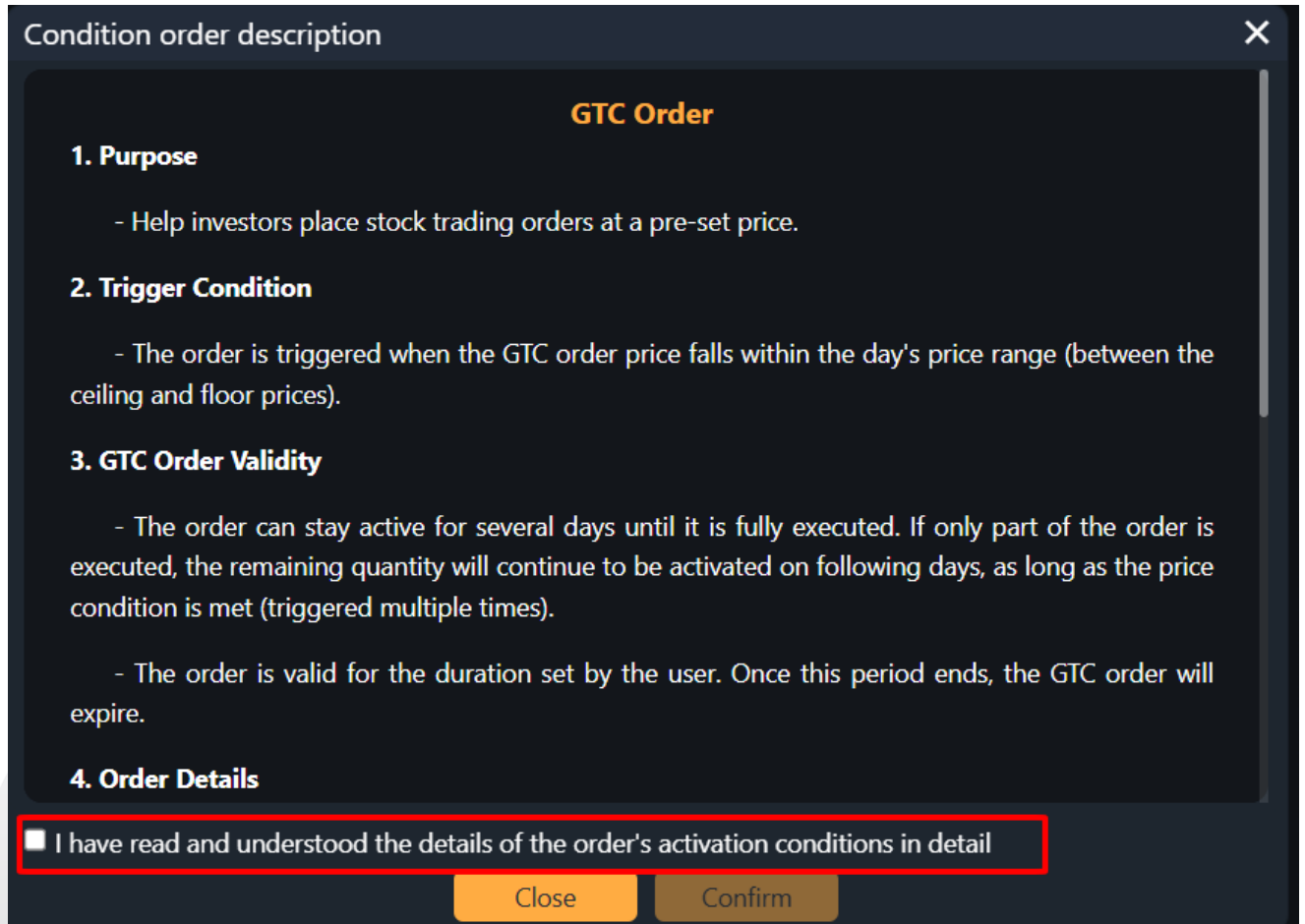
3.2.3 Conditional Order Trading

Description: This function allows customers to pre-set a buy or sell order for stock at a specific price, with the order being valid for a period chosen by the customer. During this validity period, if the investor's set price falls within the ceiling-floor range on the trading day and the account's stock balance/purchasing power at that time is sufficient to cover the margin required for the order, the order will be reviewed and sent to the exchange. It may be executed at the set price or better (depending on market conditions).

3.2.3.1 Place a conditional order

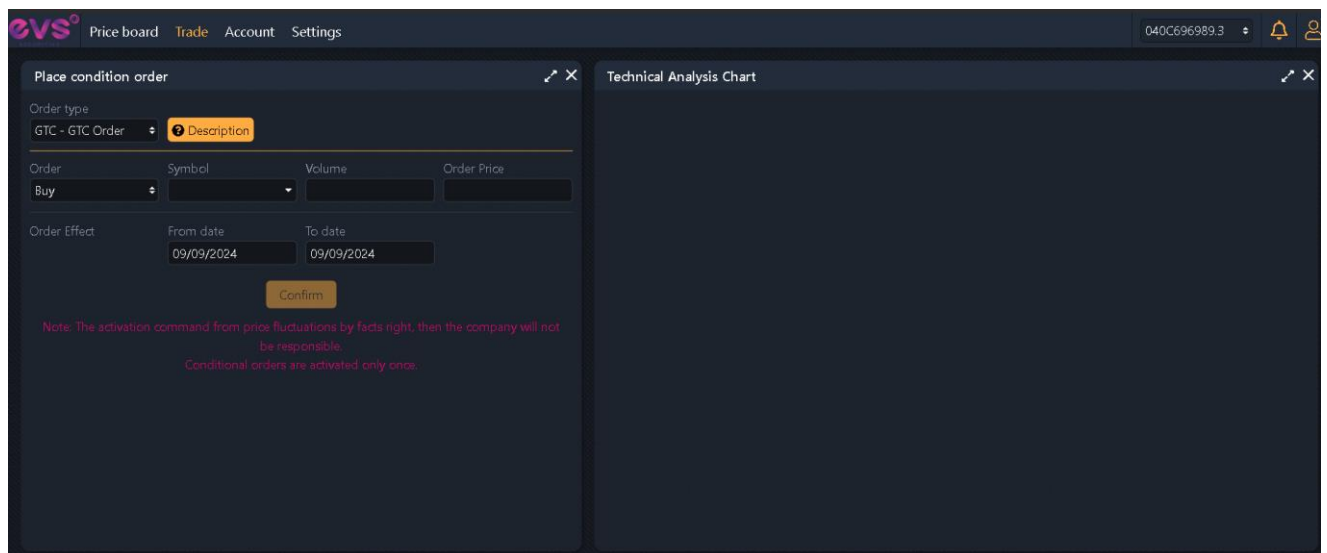
Step 1: Select the **Trading/Conditional Order** tab

Display the conditional order detail description screen:



=> Click on the box "I confirm that I have read and understood the details of the order's activation conditions"

=> Click the "confirm" button => the following screen appears



Step 2: Enter the information on the order placement screen:

- **Buy/Sell:** <The customer selects the type of order they want to place in the system>
- **Stock Code:** <Enter the stock code for the order>

- **Volume:** <Enter the order volume>
- **Order Price:** <Enter the desired price at which the customer wants to place the order>
- **From Date:** <The start date for the order's validity>
- **To Date:** <The end date for the order's validity>

Place condition order ✕

Order type
GTC - GTC Order ? Description VSC - HOSE : 21.25 - 19.90 - 18.55

Order	Symbol	Volume	Order Price
Buy	VSC <small>Công ty cổ ph...</small>	<input style="width: 100%; border: 1px solid #f1c40f;" type="text"/>	<input style="width: 100%;" type="text"/>

Order Effect	From date	To date
	<input style="width: 100%;" type="text" value="09/09/2024"/>	<input style="width: 100%;" type="text" value="09/09/2024"/>

Confirm

Note: The activation command from price fluctuations by facts right, then the company will not be responsible.
 Conditional orders are activated only once.

Authenticate orders ✕

Username: 040C696989 Account identifier: 040C696989.3

	Order type	Symbol	Volume	Order Price
BUY	GTC	VSC	10,000	20,000

The condition order effects from 09/09/2024 to 09/09/2024.

Select auth type PIN


PIN


Authenticate for trade next time

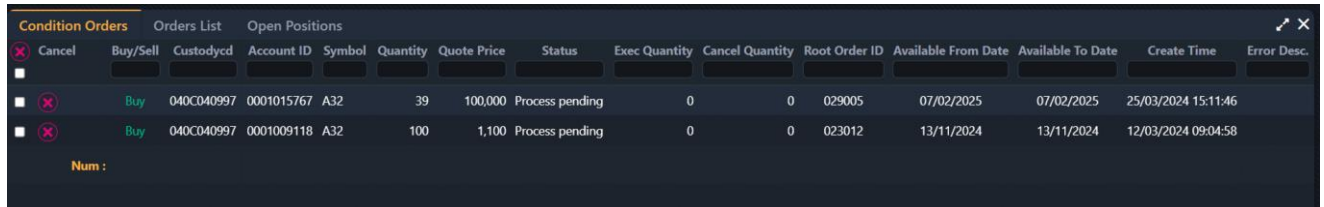
Cancel
Confirm

Step 3: Click the button Confirm to place the order.

Step 4: Check the order information again, enter PIN or OTP code to authenticate the order. Check


the button  to save the authentication for next time.

Step 5: Click the button  to place the order. After successfully verifying the order, the order will be displayed on the conditional order book as shown below. When the order satisfies the market conditions as well as the system's checking conditions, the order will be pushed to the Exchange and displayed on the management screen of the "Intraday Orders" Tab.



Cancel	Buy/Sell	Custodycd	Account ID	Symbol	Quantity	Quote Price	Status	Exec Quantity	Cancel Quantity	Root Order ID	Available From Date	Available To Date	Create Time	Error Desc.
	Buy	040C040997	0001015767	A32	39	100,000	Process pending	0	0	029005	07/02/2025	07/02/2025	25/03/2024 15:11:46	
	Buy	040C040997	0001009118	A32	100	1,100	Process pending	0	0	023012	13/11/2024	13/11/2024	12/03/2024 09:04:58	

3.2.3.2 View conditional order details

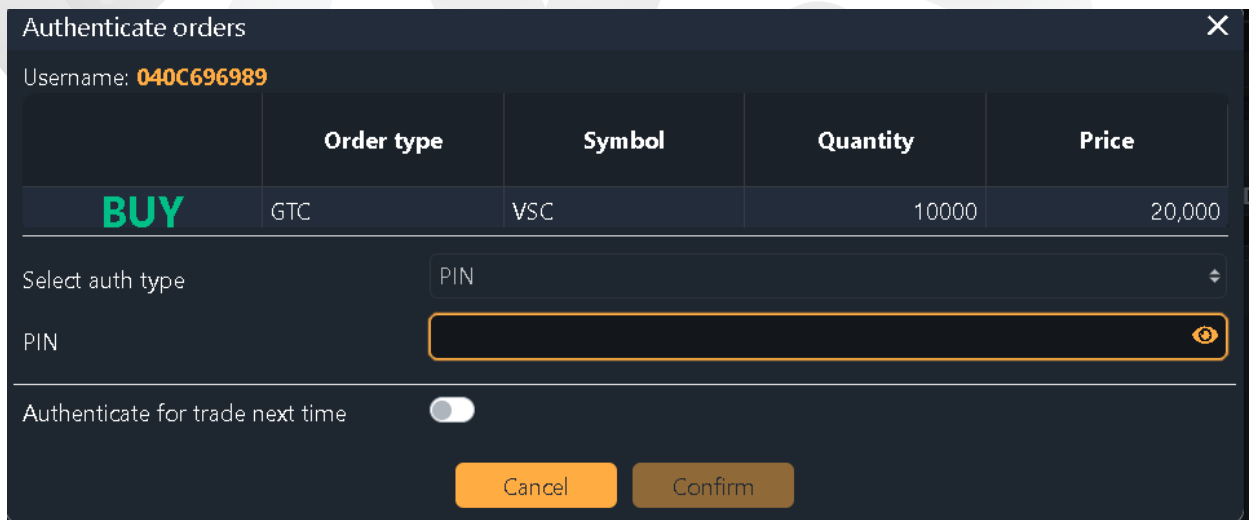
To view conditional order details, customers click on the button  on the Conditional Order screen, displaying the conditional order details screen as shown below:

Customers can cancel the child order generated from the conditional order by selecting the Cancel button .

3.2.3.3 Cancel conditional order

Step 1: Select the **Transaction/Conditional Order** tab

Step 2: Click the button  to select the order the customer wants to cancel, you can select to cancel multiple orders at the same time.



Authenticate orders

Username: 040C696989

	Order type	Symbol	Quantity	Price
BUY	GTC	VSC	10000	20,000


Select auth type: PIN

PIN:

Authenticate for trade next time:

Buttons: Cancel, Confirm

Step 3: Confirm cancellation of conditional order

- Customers check the order information again, enter PIN or OTP code to authenticate the order.
- Check the button  to save authentication for next time.

Step 4: Select Authenticate to cancel the order. Successfully canceled orders are updated with the status on the order book to Canceled

Note: For conditional orders that have not yet been placed into the exchange's trading system, the order can be canceled directly on the Conditional Order screen. For conditional orders that have

already been placed into the exchange's trading system, the cancellation process is the same as for regular orders. Once a conditional order placed into the trading system is successfully canceled, the conditional order will also expire immediately.

Statuses of Conditional Orders:

- **Pending:** <The conditional order has been placed into the system but has not yet been sent to the exchange>
- **Activated:** <The conditional order has been sent to the exchange>
- **Partially Filled:** <The conditional order has been partially filled>
- **Completed:** <The conditional order has been fully filled>
- **Canceled:** <The conditional order has been canceled>

3.3 Account

3.3.1 Account

3.3.1.1 Account balance

- This screen helps Customers monitor the total assets of the selected sub-account, including information about Cash, Stock, Debts and information about the sub-account's margin ratio:

ACCOUNT BALANCE			
040C696989.3			
1. Cash in EVS	9,800,000,000	6. Margin information	
- Real cash available	9,800,000,000	- Amount to be paid during the day	0
- E-lending	0	- Actual Margin (Rtt)	100,000%
- Receiving ?	0	- Amount of money payment safe margin ratio rat (Rat=100%)	0
- Dividend waiting for return*	0	- Amount of money payment margin call exit rtc (Rtc=81%)	0
- Blockage Money	0	- Amount of money payment maintenance margin ratio rdt (Rdt=81%)	0
*** Transferable money	9,487,789,972	- Remaining loan limit	-12,023,732
		- Maximum loan limit	300,000,000
2. Securities ?	1,929,080,000	- Have due debt	No
		- Have overdue debt	Yes
3. Liabilities	312,210,027		
- Unpaid Purchase Money	0		
*** Purchase money waiting to match	0		
- Unpaid Advance Money	0		
- SMS Fee	0		
- Deposit Fee	186,295		
- Margin Loans	312,023,732		
- Other debt	0		

- To see the meaning of each type of asset in detail as follows:

1. Cash in EVS

- **Cash:** The available cash balance in the selected sub-account.
- **Transferable Funds:** The maximum amount that can be transferred from the selected sub-account.
- **Dividends Pending Receipt:** The value of dividends in cash that are pending receipt in the customer's sub-account. Click on "Dividends Pending Receipt" to view details -> The system will link to the "Rights Event Information" menu.
- **Blocked Funds:** The amount in the selected sub-account that is currently blocked at EVS.

- **Funds Pending Receipt:** Click on "Funds from Sales Pending Receipt (2)" to view information on the funds from sales pending receipt for each sale day.

2. Securities

- Look up the value of stock in the selected sub-account. Click on the Securities line (3) -> The system links to the investment category menu to view detailed information.

2. Securities 1,929,080,000

OPEN POSITIONS											
Securities											
Open position											
Action	Symbol	Total	Trade Volume	Wait securities	Wait deliver	Cost Price	Market Price	Cost Value	Market Value	Unrealize PnL	% Unrealiz
		Total						2,053,929,503	1,929,080,000	-124,849,503	
BUY SELL	AAA	51,300	51,300	0	0	11,212.36	8,500	575,194,068	436,050,000	-139,144,068	
	AAA_WFT	20,000	0	20,000	0	10,000	8,500	200,000,000	170,000,000	-30,000,000	
BUY SELL	GSP	100,000	100,000	0	0	11,482.5	11,850	1,148,250,000	1,185,000,000	36,750,000	
BUY SELL	NDN	12,900	12,900	0	0	10,115.15	10,700	130,485,435	138,030,000	7,544,565	

3. Liabilities

3. Liabilities		312,210,027
- Unpaid Purchase Money		0
*** Purchase money waiting to match		0
- Unpaid Advance Money		0
- SMS Fee		0
- Deposit Fee		186,295
- Margin Loans		312,023,732
- Other debt		0

- **Unpaid Purchase Money:** The value of the matched purchase orders on the day, including transaction fees.
- **Purchase money waiting to match:** The value of unmatched purchase orders, including transaction fees.

***Customers select a sub-account and choose:**

- Unpaid Advance Money to view the detailed report on advance debt.
- Margin Loans to view the detailed report on margin debt.

Margin debts		Repayment History								
Extend	Disbursement Date	Due Date	Disbursement Amount	Paid	Remaining Principle	Interest Rate	Provisional Interest	Paid Interest	Number Of Days Overdue	Over
	Total		357,407,306	57,962,693	299,444,613		12,579,119			
	08/11/2023	06/02/2024	128,061,804	57,962,693	70,099,111	17	2,961,447	365,468		28
	13/11/2023	15/02/2024	98,860,068	0	98,860,068	17	4,187,333	0		19
	15/11/2023	15/02/2024	130,485,434	0	130,485,434	17	5,430,339	0		19

4. **Actual Liabilities:** Actual Liabilities equals Liabilities minus Cash at EVS.
5. **Actual Assets:** Actual Assets on the selected subaccount.
6. **Margin Information:** Margin Rate Set for the *subaccount ending in .3*


6. Margin information	
- Amount to be paid during the day	0
- Actual Margin (Rtt)	100,000%
- Amount of money payment safe margin ratio rat (Rat=100%)	0
- Amount of money payment margin call exit rtc (Rtc=81%)	0
- Amount of money payment maintenance margin ratio rdt (Rdt=81%)	0
- Remaining loan limit	-12,023,732
- Maximum loan limit	300,000,000
- Have due debt	No
- Have overdue debt	Yes

3.3.1.2 Open position

Description: The Invest category section calculates and manages the entire customer category, helping to manage the customer's investment activities better and more effectively.

⇒ Customers select the “Account” Tab ⇒ “Open position”

1. Securities

- Purpose: To help customers track the stock they currently hold:
 - Details of restricted stock: Blocked, Pledged, Transfer Restricted
 - Stock waiting to be returned by day T0, T1, T2
 - Rights waiting to be returned
 - Stock waiting to be withdrawn
 - Sell waiting to be matched
- The “Sell” button allows customers to quickly place orders to sell stock in the Stock Balance section.
- Click the button  to export the Stock Balance to an Excel or PDF file.

OPEN POSITIONS																		
Securities		Open position			Closed position			Mortgage Symbol			Wait			Deliver			Wait Option	Penalty
Action	Symbol	Total	Trade Volume	Blocked	Mortgage	Restrict	T0	T1	T2	T0	T1	T2	Wait Option	Penalty				
BUY SELL	AAA	51,300	51,300	0	0	0	0	0	0	0	0	0	0	0				
	AAA_WFT	20,000	0	0	0	0	0	0	0	0	0	0	0	20,000				
BUY SELL	GSP	100,000	100,000	0	0	0	0	0	0	0	0	0	0	0				
BUY SELL	NDN	12,900	12,900	0	0	0	0	0	0	0	0	0	0	0				

2. Open position

- Purpose: To help customers track the stock they currently hold and the estimated gains or losses for each security:


OPEN POSITIONS												
Securities		Open position			Closed position			Open position				
Action	Symbol	Total	Trade Volume	Wait securities	Wait deliver	Cost Price	Market Price	Cost Value	Market Value	Unrealize PnL	% Unrealiz	
			Total					2,053,929,503	1,929,080,000	-124,849,503		
BUY SELL	AAA	51,300	51,300	0	0	11,212.36	8,500	575,194,068	436,050,000	-139,144,068		
	AAA_WFT	20,000	0	20,000	0	10,000	8,500	200,000,000	170,000,000	-30,000,000		
BUY SELL	GSP	100,000	100,000	0	0	11,482.5	11,850	1,148,250,000	1,185,000,000	36,750,000		
BUY SELL	NDN	12,900	12,900	0	0	10,115.15	10,700	130,485,435	138,030,000	7,544,565		

- Restricted Stock
- Stock Pending Settlement
- Stock Pending Withdrawal
- Sales Pending Matching

* Round Lot Stock:

- **Purpose:** To help customers track the round lot stock they currently hold and the estimated gains or losses for each security.
- The round lot display rules for each exchange are as follows:
 - **For HOSE (Ho Chi Minh Stock Exchange):** Lot size of 100
 - **For HNX (Hanoi Stock Exchange):** Lot size of 100
 - **For UPCOM:** Lot size of 100

OPEN POSITIONS




Securites Open position Closed position


Open position ALL

Action	Symbol	Total	Trade Volume	Wait securities ?	Wait deliver	Cost Price ?	Market Price	Cost Value	Market Value ?	Unrealize PnL ?	% Unrealiz
		Total						2,053,929,503	1,929,080,000	-124,849,503	
BUY SELL	AAA	51,300	51,300	0	0	11,212.36	8,500	575,194,068	436,050,000	-139,144,068	
	AAA_WFT	20,000	0	20,000	0	10,000	8,500	200,000,000	170,000,000	-30,000,000	
BUY SELL	GSP	100,000	100,000	0	0	11,482.5	11,850	1,148,250,000	1,185,000,000	36,750,000	
BUY SELL	NDN	12,900	12,900	0	0	10,115.15	10,700	130,485,435	138,030,000	7,544,565	

OPEN POSITIONS




Securites Open position Closed position

From date: 10/08/2024 To date: 09/09/2024 Symbol: ALL 

Symbol	Sell Quantity	Sell Value ?	Cost Amount ?	Realized PnL	% Realized PnL
No data to display					

OPEN POSITIONS




Securites Open position Closed position

Open position ALL

Action	Symbol	Total	Trade Volume	Wait securities ?	Wait deliver	Cost Price ?	Market Price	Cost Value	Market Value ?	Unrealize PnL ?	% Unrealiz
		Total						2,053,929,503	1,929,080,000	-124,849,503	
BUY SELL	AAA	51,300	51,300	0	0	11,212.36	8,500	575,194,068	436,050,000	-139,144,068	
	AAA_WFT	20,000	0	20,000	0	10,000	8,500	200,000,000	170,000,000	-30,000,000	
BUY SELL	GSP	100,000	100,000	0	0	11,482.5	11,850	1,148,250,000	1,185,000,000	36,750,000	
BUY SELL	NDN	12,900	12,900	0	0	10,115.15	10,700	130,485,435	138,030,000	7,544,565	

- The “Buy/Sell” button allows customers to quickly place orders to sell stock in the Category section.



- Click the button  to export the Category to an Excel or PDF file.
- Tracking category: All options/ Even lot stocks/ Odd lot stocks.


*** Odd Lot Stock:**

- Purpose: to help customers track the stock they currently hold in odd lots, and estimated

profits and losses on each code.

- The number of odd lot stocks usually ranges from 1-99 stocks per transaction.
- The “Sell” button allows customers to quickly place orders to sell odd-lot stock in the Odd-lot Stock section.



- Click the button  to export odd-lot stock to an Excel or PDF file.

3. Profit and loss diary

- Purpose: to help customers have an overview of stock codes: actual profit and loss for each code.



- Click the button  to export sold stocks to Excel or PDF file.

3.3.1.3 Debts

3.3.1.3.1. Margin debts

1. Margin Debts

- Path: Sub-account ending .3 ==> Account ==> Debts ==> Margin debts ==> Margin debts

Extend	Disbursement Date	Due Date	Disbursement Amount	Paid	Remaining Principle	Interest Rate	Provisional Interest	Paid Interest	Number Of Days Overdue	Over
Total			357,407,306	57,962,693	299,444,613		12,579,119			
	08/11/2023	06/02/2024	128,061,804	57,962,693	70,099,111	17	2,961,447	365,468		28
	13/11/2023	15/02/2024	98,860,068	0	98,860,068	17	4,187,333	0		19
	15/11/2023	15/02/2024	130,485,434	0	130,485,434	17	5,430,339	0		19

2. Debt Payment history

- Purpose: Help customers look up the repayment history of the selected sub-account.
- Path: Sub-account ending in .3 ==> Account ==> Debts ==> Margin Debt ==> Repayment History ==> Enter the time period ==> Click Search



- ==> Click the button  to export the Repayment Information to an Excel or PDF file.

Loan Date	Over Due Date	Disbursement	Liquidation	Pay Interest
No data to display				

3.3.1.3.2. Margin loan extension (margin loan extension policy may change from time to time)

- Purpose: Customers can proactively extend another 90-day loan term for 90-day loans that

are about to mature.

- Condition: Customers have a balance (cash + pending sales) in the .3 sub-account to pay the loan interest.
- Path: Sub-account .3 ==> Account ==> Debts ==> Margin Debts ==> Margin Debts ==> Extend ==> Customers click confirm ==> Enter PIN/OTP and receive results.

MARGIN DEBTS												
Margin debts												
Extend	Disbursement Date	Due Date	Disbursement Amount	Paid	Remaining Principle	Interest Rate	Provisional Interest	Paid Interest	Number Of Days Overdue	Overdue Debt Fee	Total Amount Payable	Note
Total			52,097,064	0	52,097,064		522,790					
	20/02/2024	20/05/2024	51,016,200	0	51,016,200	13	517,785	100,000	0	0	51,533,985	Within the time limit
	12/03/2024	10/06/2024	1,080,864	0	1,080,864	13	5,005	0	0	0	1,085,869	Within the time limit

3.3.1.3.3. Advance debt

- Purpose: Display information on outstanding advances of the sub-account.
- Path: Account ==> Debts ==> Advances ==> Select sub-account

FACTORING DEBTS								
Order Date	Sell Date	Clear Date	Sale Value	Total Advancing Amount	Fee	Advanced Amount	No Of Days Advance	
No data to display								


- Click the button  to export Advance Debt to Excel or PDF file.

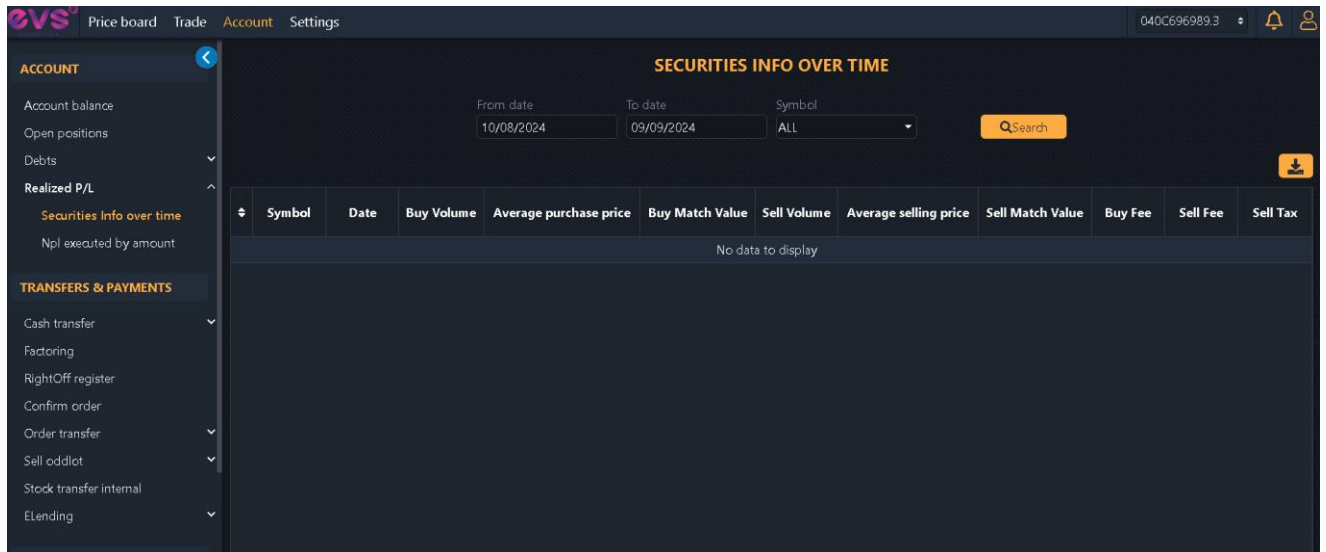
3.3.1.4 Realized Profit/Loss

- Path: Account ==> Realized Profit/Loss ==> Detailed Securities Report by Time/Profit and Loss by Cash Flow.

3.3.1.4.1. Securities info over time

Description: Enter the time period from ... to ... and select All/Specific Stock Codes.

==> Click the button  to receive the buy and sell information of all/specific stock codes over the queried time period.

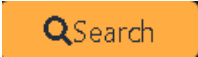


3.3.1.4.2. NPL executed by amount

Includes the following reports/information:


- Profit and Loss Report: Includes detailed information on cash, securities, and debts of the customer at the beginning and end of the period.
- Cash Flow Movements: Provides information on the increase or decrease of the customer's cash within the searched time frame.
 - Increased Transactions: Deposits, receiving transfers.
 - Decreased Transactions: Withdrawals, sending transfers.
- Securities Movements: Provides information on the increase or decrease in securities during the searched time frame.
 - Increased Capital Transactions - Securities: Receiving securities, depositing securities, registering purchase rights.
 - Decreased Capital Transactions - Securities: Withdrawing securities, transferring securities.

*** NPL executed report:**

Enter the input values from date ... to date ... ==> Click the button  to display the total profit or loss value for the period.


NPL EXECUTED REPORT		Begin	End
		Value	Value
NPL EXECUTED IN PERIOD NAV at the end of the period - NAV at the beginning of the period - Increase cash/Increase securities + Decrease cash/Decrease securities			
NAV		11,341,768,243	11,341,768,243
I. Amount		9,800,000,000	9,800,000,000
1.1. Cash		9,800,000,000	9,800,000,000
1.2. Lending		0	0
1.3. Receiving		0	0
1.4. Receiving dividend		0	0
II. securities		1,853,929,503	1,853,929,503
III. Debts		312,161,260	312,161,260
3.1. Unpaid debts		0	0

*** Arising Amount**

Enter the input values from date ... to date ... => Click the button  to view the history of cash increases and decreases within the queried time frame.

ID	Date	CHANGE OF CAPITAL - MONEY		Description	Transaction Channel
		INCREASE	DECREASE		
No data to display					

*** Arising securities**

Enter the input values from date ... to date ... => Click the button  to view the history of securities increases and decreases within the queried time frame.

id	DATE	Symbol	CHANGE OF CAPITAL - SECURITIES						Description
			Increase			Decrease			
			AMT	Price	Value	AMT	Price	Value	
No data to display									

3.3.2 Transfers & Payment

3.3.2.1 Cash transfer

3.3.2.1.1. Transfer External

Description: Customers can transfer funds to an external bank account, provided the account number and recipient name have been registered in their online trading profile. If the customer has not previously registered their bank account information for external transfers, the system will reject the transfer request. The time available for external transfer transactions is from 8:00 AM to 4:15 PM on trading days.

To perform an external transfer, customers should follow these steps:

Step 1: Log in to the system and select the "Accounts" tab => "Transfers & Payment" => "Cash Transfer" => "Transfer External"

CASH TRANSFER

Info Verify Finish

SENDER

Transfer type: Transfer external

Sender sub account: 040C6969B9.3

Amount transferred: 9,487,789,972

DETAIL

Amount:

Transfer fee: 0

Description: 040C6969B9.3 TAI KHOAN LUAT
040C6969B9 TRANSFER

BENEFICIARY

Beneficiary account: 2221 0001 04740 Default

Beneficiary name: TRAN QUANG THUAN

Beneficiary bank: BIDV - NIHTMCP DAU TU VA PHAT TRIEN VIET NAM

Province:

Branch: CN THANH XUAN

* Remittance fees are charged according to the bank's regulations and are borne by the recipient

* To experience Smart OTP authentication feature. Please see detailed instructions [In here](#).

Refresh Next

Step 2: Select the sub-account from which you wish to transfer funds. Choose the target bank account for the transfer. The recipient's account information (beneficiary) will be displayed based on the selected account.

Step 3: Enter the amount to be transferred, ensuring it meets the withdrawal conditions. Enter the transfer description (up to 200 characters).

Step 4: Click the "Continue" button to proceed with the transfer. Click the "Refresh" button to clear all entered information.

Step 5: Confirm the external transfer information. Enter the Smart OTP/OTP code and click "Continue" to complete the transfer. Click "Back" to return to the information screen.

Info Verify Finish

BENEFICIARY

Beneficiary account 100004693803

Beneficiary bank NHTMCP QUOC DAN

Province

Branch CN HA NOI

Beneficiary name LAI THI SEN

DETAIL

Amount 1,000,000

Amount in text One million dong

Description 040C299668.3 LAI THI SEN TRANSFER

CONFIRM

Select auth type OTP

OTP Send OTP

Back Next

Info Verify Finish

Successfully

BENEFICIARY

Beneficiary account 100004693803

Beneficiary bank NHTMCP QUOC DAN

Province

Branch CN HA NOI

Beneficiary name LAI THI SEN

DETAIL

Amount 1,000,000

Amount in text One million dong

Description 040C299668.3 LAI THI SEN TRANSFER

Another transaction Check detail

- Click on Another Transactions to return to the information screen to make another transfer.

- Click on Check details to go to Transfer History to look up the transfer that has been made.

3.3.2.1.2. Cash transfer with idcode

Description: If a customer wants to transfer funds from their stock account to a beneficiary bank account in their own name but has not registered the account on the EVS system, they can use the "External Transfer Same Name" option. The time available for same-name external transfers is from 8:00 AM to 4:15 PM on trading days.

To perform a same-name external transfer, customers should follow these steps:

Step 1: Log in to the system and select the "Accounts" tab => "Transfers & Payment" => "Cash transfer" => "Cash transfer with idcode".

Step 2: Select the sub-account for the same-name external transfer. The recipient's account information will be displayed based on the selected sub-account.

The screenshot displays the 'CASH TRANSFER' interface with a progress bar at the top showing 'Info', 'Verify', and 'Finish' steps. The 'Info' step is active. The form is divided into 'SENDER' and 'BENEFICIARY' sections. In the 'SENDER' section, 'Transfer type' is 'Cash transfer with idcode', 'Sender sub account' is '040C696989.3', and 'Amount transferred' is '9,487,789,972'. The 'DETAIL' section has an empty 'Amount' field, 'Transfer fee' of '0', and a 'Description' field containing '040C696989.3 TAI KHOAN UAT' and '040C696989 TRANSFER'. The 'BENEFICIARY' section shows 'Beneficiary name' as 'TAI KHOAN UAT 040C696989', 'Beneficiary account number' (empty), 'Beneficiary bank' (dropdown), and 'Branch' (dropdown). A toggle switch for 'Save beneficiary account information if the transfer is successful' is present. At the bottom, there are 'Refresh' and 'Next' buttons. A red box highlights the 'Amount' field in the 'DETAIL' section and the 'Beneficiary account number' field in the 'BENEFICIARY' section.

Step 3:

- **Beneficiary Account Number:** Enter the bank account number to which you want to transfer funds.
- **Bank:** Enter the bank where the transfer will be made.
- **City/Province:** Enter the location of the bank's branch/office.
- **Branch:** Enter the name of the branch or office as specified by the bank.
- **Amount:** Enter the amount to be transferred, ensuring it meets the withdrawal conditions.
- **Transfer Description:** Enter a description for the transfer (up to 200 characters).

Step 4: Click the "Refresh" button to clear all entered information. Click the "Continue" button to proceed with the same-name external transfer.

Step 5: Confirm the external same-name transfer details. Enter the Smart OTP/OTP code and click "Next" to complete the transfer. Click "Back" to return to the information screen.

Info Verify Finish

BENEFICIARY

Beneficiary account	100004693803
Beneficiary bank	NHTMCP QUOC DAN
Province	
Branch	CN HA NOI
Beneficiary name	LAI THI SEN

DETAIL

Amount	1,000,000
Amount in text	One million dong
Description	040C299668.3 LAI THI SEN TRANSFER

CONFIRM

Select auth type: OTP

OTP:

Send OTP

Back Next

Info Verify Finish

Successfully

BENEFICIARY

Beneficiary account	100004693803
Beneficiary bank	NHTMCP QUOC DAN
Province	
Branch	CN HA NOI
Beneficiary name	LAI THI SEN

DETAIL

Amount	1,000,000
Amount in text	One million dong
Description	040C299668.3 LAI THI SEN TRANSFER

Another transaction Check detail

- Click on Another Transactions to return to the information screen to make another money

transfer

- Clicking on Check details will take you to Money Transfer History to look up the money transfer that has been made.

3.3.2.1.3 Transfer Internal

Description: Customers can transfer money between 2 sub-accounts in the same account.

To make an internal transfer transaction, customers follow these steps:

Step 1: Log in to the system and select the "Accounts" tab => "Transfers & Payment" => "Cash Transfer" => "Transfer Internal"

The screenshot displays the 'CASH TRANSFER' screen with a progress bar at the top showing 'Info', 'Verify', and 'Finish' steps. The 'Info' step is active. The form contains the following fields:

- Transfer type:** Transfer internal
- Sender sub account:** 040C696989.1
- Cash available online:** 0
- Beneficiary sub account:** 040C696989.3
- Amount:** (empty input field)
- Description:** CASH TRANSFER INTERNAL 040C696989.1 FROM 040C696989.3 OF THE TAI KHOAN KRX 040C696989

At the bottom of the form, there are two buttons: 'Refresh' and 'Next'.

Step 2: Select the sub-account from which you wish to transfer funds and the sub-account to which the funds will be transferred. The recipient's account information will be displayed based on the selected sub-account.

Step 3:

- Amount: Enter the amount to be transferred, ensuring it meets the condition of being less than or equal to the maximum withdrawal amount.
- Transfer Description: Enter a description for the transfer (up to 200 characters).

Step 4: Click the "Refresh" button to clear all entered information. Click the "Next" button to proceed with the internal transfer.

Step 5: Confirm the internal transfer details. Click "Next" to complete the internal transfer. Click "Back" to return to the information screen.

Info Verify Finish

BENEFICIARY

Beneficiary account	100004693803
Beneficiary bank	NHTMCP QUOC DAN
Province	
Branch	CN HA NOI
Beneficiary name	LAI THI SEN

DETAIL

Amount	1,000,000
Amount in text	One million dong
Description	040C299668.3 LAI THI SEN TRANSFER

CONFIRM

Select auth type:

OTP:

Send OTP

Back Next

Info Verify Finish

Successfully

BENEFICIARY

Beneficiary account	100004693803
Beneficiary bank	NHTMCP QUOC DAN
Province	
Branch	CN HA NOI
Beneficiary name	LAI THI SEN

DETAIL

Amount	1,000,000
Amount in text	One million dong
Description	040C299668.3 LAI THI SEN TRANSFER

Another transaction Check detail

- Click on Anther Transactions to return to the information screen to make another money

transfer

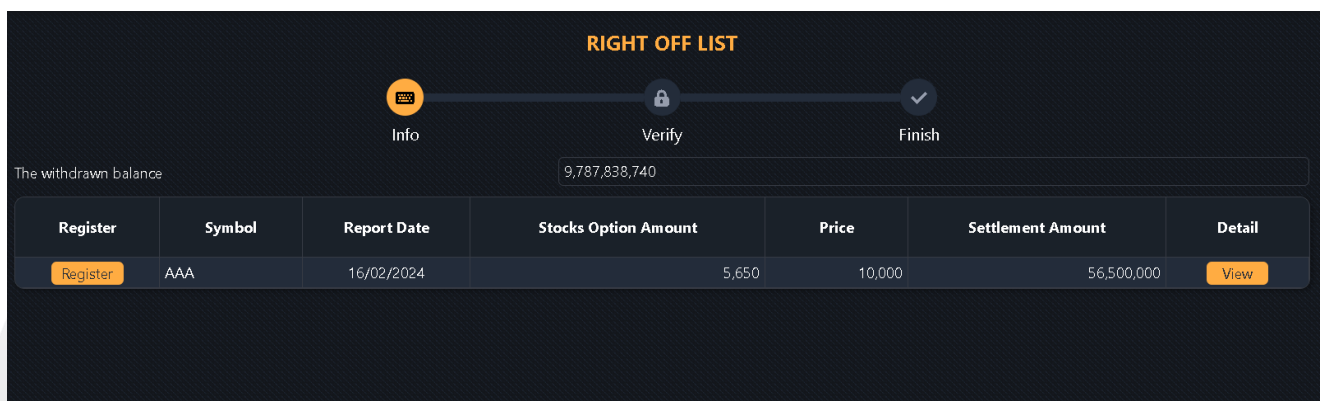
- Clicking on Check Details will take you to Money Transfer History to look up the money transfer that has been made.

3.3.2.2 Right off register

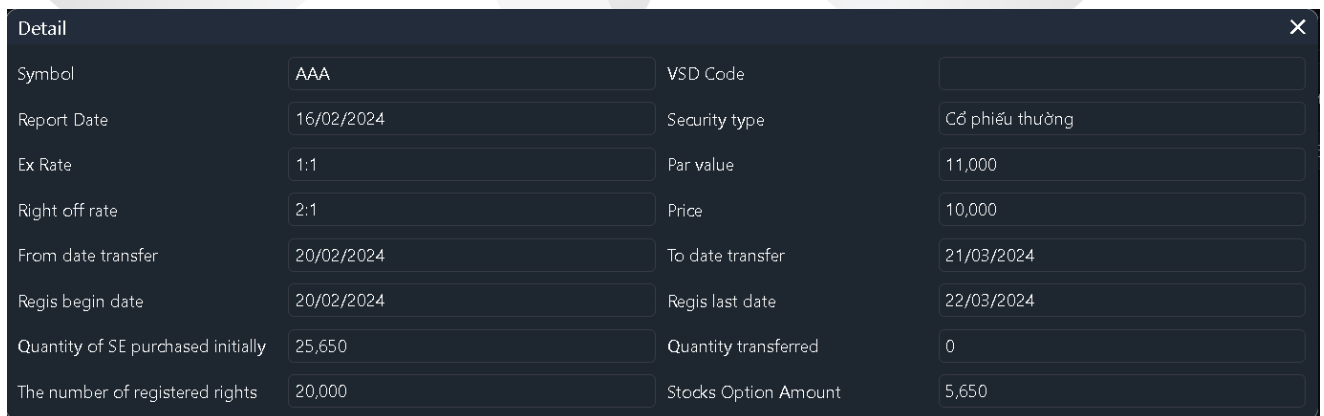
Description: Customers can register their purchase rights through the online system without having to visit the stock company in person. The time for customers to complete the purchase rights registration transaction is from 8:00 AM to 4:00 PM on trading days. To successfully complete the purchase rights registration transaction, the customer's account must have sufficient available funds to pay for the number of stock being registered for purchase.

To complete the Purchase Rights Registration transaction, customers should follow these steps:

Step 1: Log in to the system and select the "Accounts" tab => "Transfers & Payment" => "Right off register"






Select the right to buy and want to see detailed information about the right exercise, click "View" as instructed in the Image, the system will display detailed information about the right event as shown in the Image:



Step 2: To register for purchase rights, the Customer will click the "Register" button, the system will transfer to the registration screen to exercise the right to purchase stock.

RIGHT OFF REGISTER

 Info
 Verify
 Finish

DETAIL	
Symbol	AAA
Register quantity	<input style="width: 100%;" type="text" value="1,000"/>
Price	10,000
Settlement Amount	10,000,000
The withdrawn balance	9,787,838,740

Back
Next

Step 3: Customers enter the following information:

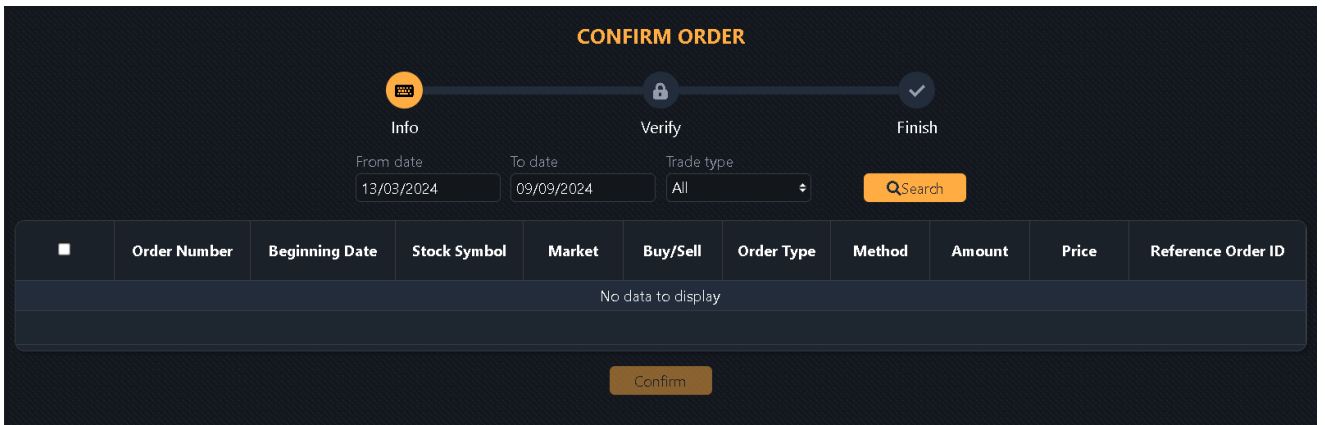
- Quantity to purchase: The customer enters the quantity of stock they wish to register to buy. Customers can register multiple times and can register up to the maximum quantity of stock available for purchase during the valid period.
- Enter the PIN/OTP.
- Click "Next" to complete the purchase rights registration.
- Click "Back" to return to the Purchase Rights List screen.
- Click "Another Transactions" to return to the Purchase Rights List screen.
- Click "Next" to proceed with the next registration.

3.3.2.3 Confirm order

Description: For customers with unconfirmed orders, the system will send a notification upon login. This notification will appear in the "Account" tab under the "Order Confirmation" function.

To confirm an order, customers should follow these steps:

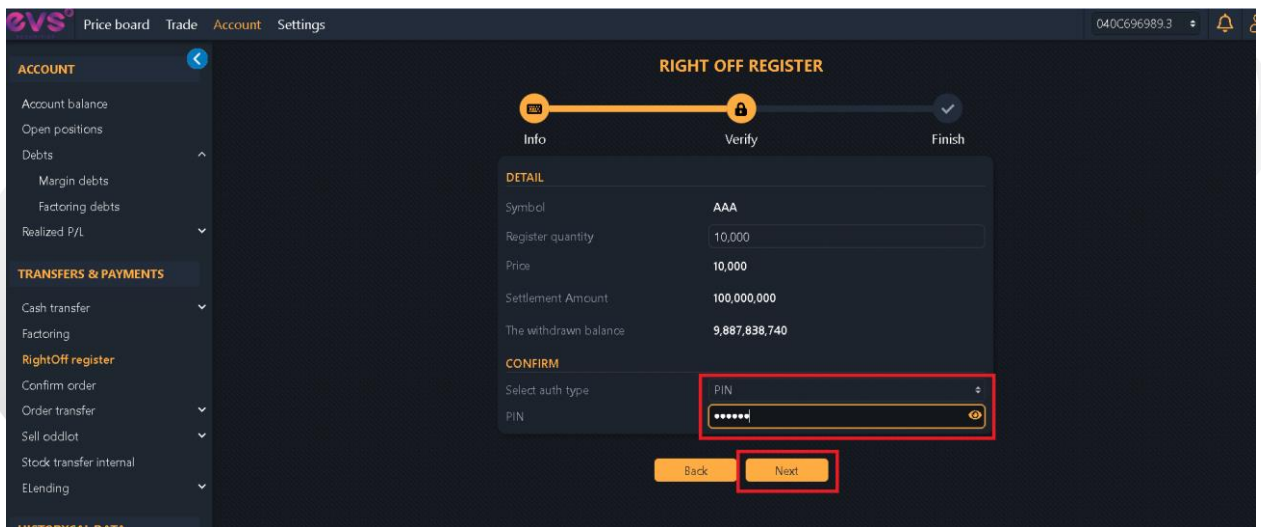
Step 1: Log in to the system and select the "Accounts" tab ==>"Transfers & Payment"==>"Confirm order"



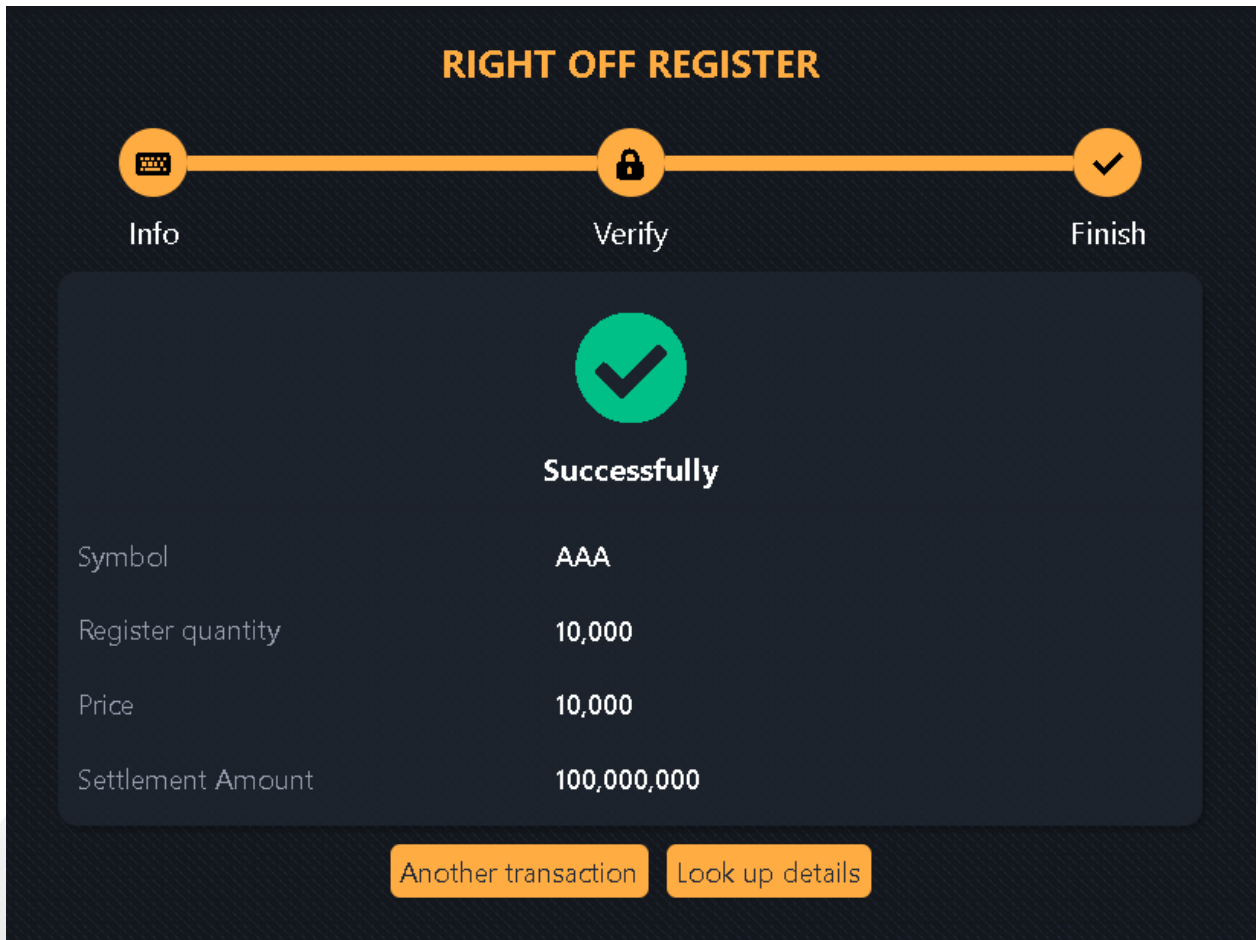
Step 2: Enter valid search criteria => Click “Search”

Step 3: Select the order to confirm or you can select all orders => Click “Confirm”

Step 4: Enter PIN/OTP code and click “Next” to complete the order confirmation or click “Back” to return to the order confirmation screen.



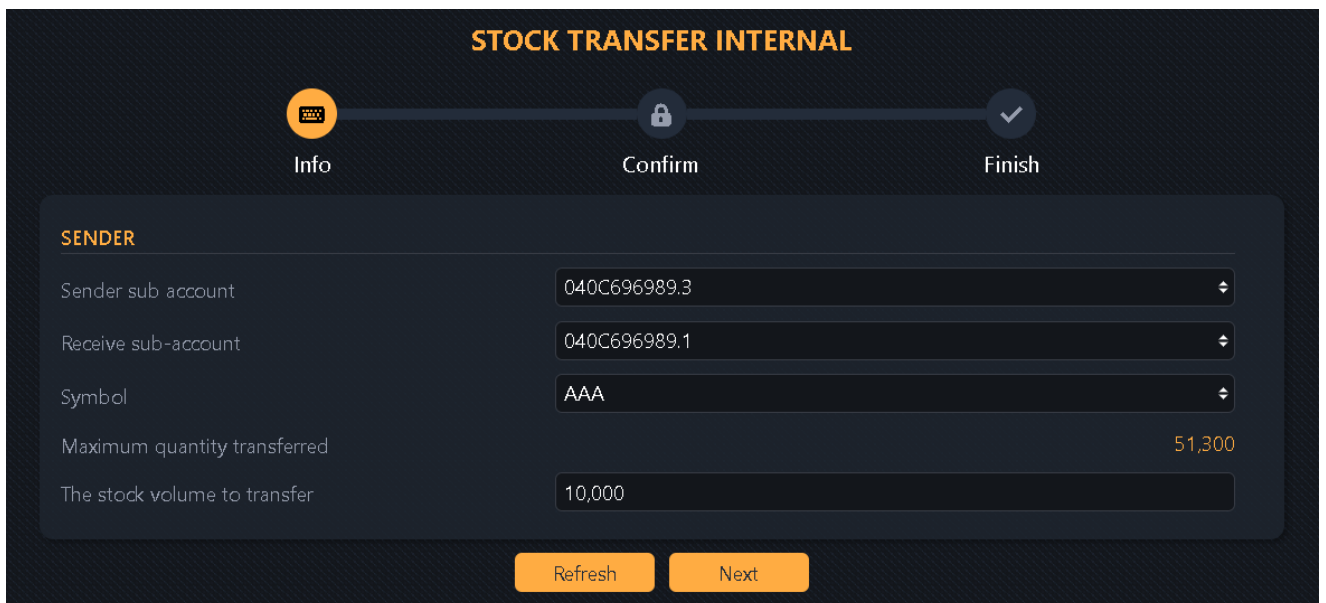
SECURITIES



Click Another Confirmation Information screen.

3.3.2.4 Stock Transfer Internal

Step 1: Log in to the system and select the "Accounts" tab => "Transfers & Payment" => "Stock transfer internal"



Step 2: Enter the following information:

- Sender sub-account: Select the sub-account you want to transfer stock to
 - Receive sub-account: Select the sub-account you want to receive stock
 - Symbol: Select the stock code you want to transfer
 - The stock volume to transfer: Enter the quantity you want to transfer
- ⇒ Click the “Next” button => the following screen appears

STOCK TRANSFER INTERNAL

Info Confirm Finish

DETAIL

Sender sub account 040C696989.3

Receive sub-account 040C696989.1

Symbol AAA

Quantity 10,000

Back Next

Step 3: Check the transfer information again, select “Next” to complete the internal stock transfer.

STOCK TRANSFER INTERNAL

Info Confirm Finish

Successfully

DETAIL

Sender sub account 040C696989.3

Receive sub-account 040C696989.1

Symbol AAA

Quantity 10,000

Another transaction

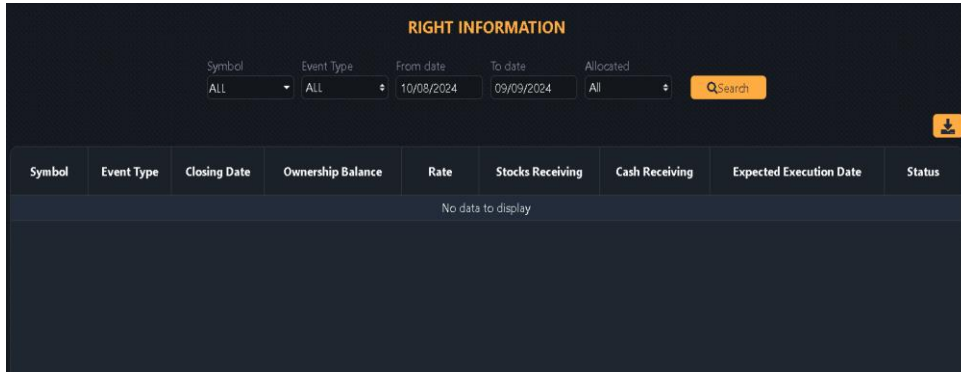
3.3.3 Historical Data

3.3.3.1 Right information

- Purpose: To help customers track customer rights events that are in the ownership list (by sub-account)

- To look up information about rights events, customers follow these steps:

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Right information"



Step 2: Enter the following information:

- From date: Enter the start date of the period for which you want to view the history of the rights event.
- To date: Enter the end date of the period for which you want to view the history of the rights event.
- Allocated: Select the rights event that has been allocated or not allocated.

Step 3: Click the "Search" button to display the search information according to the criteria.

Step 4: Click the button  to export the Rights Event Information to Excel or PDF file.

3.3.3.2 Order history

3.3.3.2.1 Matching history

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Order history" => "Matching history"

Order Number	Date	Symbol	Trade Type	Order Type	Match Type	Securities Trading Detail			Fee	Tax	Via
						Match Quantity	Match Price	Match Value			
Sum						0		0	0	0	
Total by lookup time						0		0	0	0	

Step 2: Enter the following information:

- From date: Enter the start date of the period you want to view matched orders.
- To date: Enter the end date of the period you want to view matched orders.
- Symbol: Enter a stock code or enter nothing to look up matched orders related to the entered stock code;
- Trade type: Select the transaction type of the matched order: buy, sell, sell collateral.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.2.2. Order history

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Order history" => "Order history"

ORDER HISTORY											
From date	To date	Symbol	Trade type	Status							
10/08/2024	09/09/2024	ALL	All	All							
Order Number	Date	Symbol	Trade Type	Order Type	Match Type	Securities Trading Detail					Status
						Order Quantity	Order Price	Matched Quantity	Matched Price	Matched Value	
No data to display											

Step 2: Enter the following information:

- From date: Enter the start date of the period you want to view the placed orders.
- To date: Enter the end date of the period you want to view the placed orders.
- Symbol: Enter a stock code or enter nothing to look up the placed orders related to the entered stock code;
- Trade type: Select the transaction type of the matched order: buy, sell, sell collateral.
- Status: Select the status of the placed order.

Step 3: Click the “Search” button to display search information according to criteria.

3.3.3.2.3. Condition order history

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Order history" => "Condition order history"

CONDITION ORDER HISTORY											
From date	To date	Status	Order Type								
10/08/2024	09/09/2024	All	All								
Order Type	Trade Type	Account ID	Symbol	Quantity	Quote Price	Status	Exec Quantity	Cancel Quantity	Root Order ID	Available From Date	Available To Date
GTC		040C696989.3	VSC	1,000	20,000	Process pending	0	0	013002	09/09/2024	09/09/2024
GTC		040C696989.3	VSC	10,000	20,000	Process pending	0	0	013001	09/09/2024	09/09/2024
Num : 2											

Step 2: Enter the following information:

- From Date: Enter the start date of the period for which you want to view your placed orders.
- To Date: Enter the end date of the period for which you want to view your placed orders.
- Status: Select the status of your placed orders.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.3 Account statement

3.3.3.3.1. Cash statement

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Account statement" => "Cash statement"

CASH STATEMENT					
From date		To date		Q Search	
08/01/2025		07/02/2025			
Date	Trade Name	Description	Remittance Detail		Available
			Credit Value	Debit Value	
Beginning of the period					
			0	0	2,379,582
End of the period					
					2,379,582
					2,379,582

Step 2: Enter the following information:

- From date: Enter the start date of the period for which you want to view the statement.
- To date: Enter the end date of the period for which you want to view the statement.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.3.2. Securities statement

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Account statement" => "Securities statement"

SECURITIES STATEMENT					
From date		To date		Symbol	
10/08/2024		09/09/2024		ALL	
Q Search					
Date	Symbol	Description	Stock Transfer Detail		Available
			Credit Value	Debit Value	
0					

Step 2: Enter the following information:

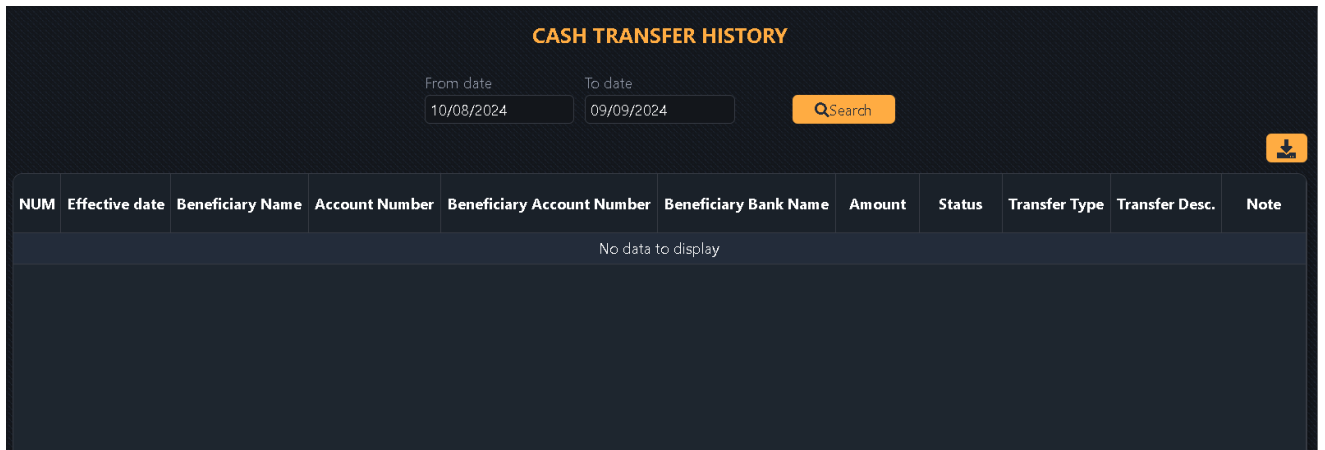
- From date: Enter the start date of the period you want to view the stock statement.
- To date: Enter the end date of the period you want to view the stock statement.
- Symbol: Enter a stock code or enter nothing to look up the statement related to the entered stock code.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.4 Payment transaction history

3.3.3.4.1. Cash transfer History

Step 1: Log in to the system and select the tab "Account" => "Historical Data" => "Payment transaction history" => "Cash transfer history"



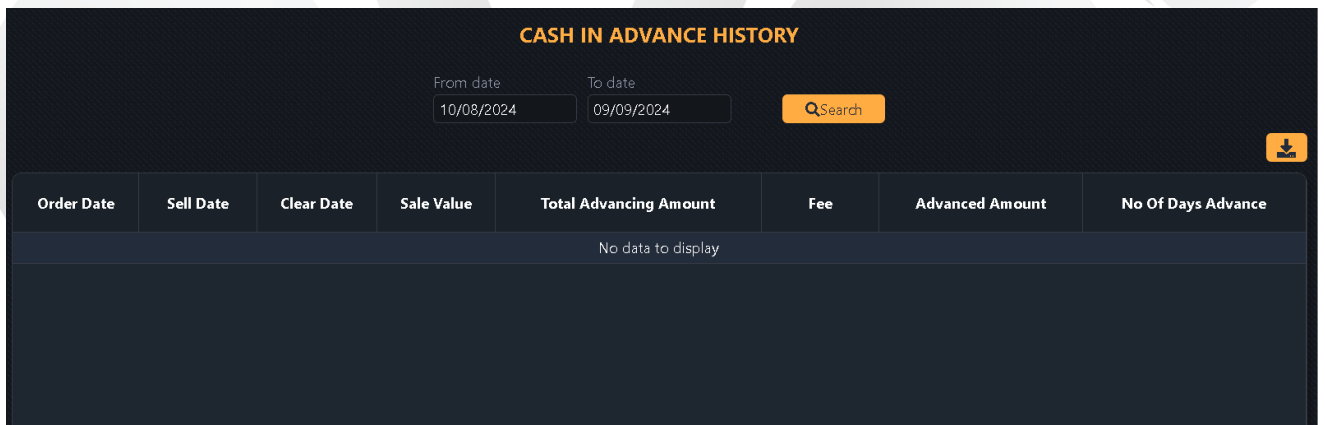
Step 2: Enter the following information

- From Date: Enter the start date of the period for which you want to view transfer history.
- To Date: Enter the end date of the period for which you want to view transfer history.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.4.2. *Cash in advance history*

Step 1: Log in to the system and select the tab tab "Account" => "Historical Data" => "Payment transaction history" => "Cash in advance history"



Step 2: Enter the following information:

- From Date: Enter the start date of the period for which you want to view advance history.
- To Date: Enter the end date of the period for which you want to view advance history.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.3.3.4.3. *Additional issued share history*

Step 1: Log in to the system and select the "Account" => "Historical Data" => "Payment transaction history" => "Additional issued shares History".

ADDITIONAL ISSUED SHARES HISTORY					
From date	To date	Symbol			
10/08/2024	09/09/2024	ALL	<input type="button" value="Search"/> <input type="button" value="Download"/>		
Exec Type	Register Date	Symbol	Quantity	Amount	Status
No data to display					

Step 2: Enter the following information:

- From date: Enter the start date of the period for which you want to view the Purchase Rights Registration History.
- To date: Enter the end date of the period for which you want to view the Purchase Rights Registration History.
- Stock code: Enter a stock code or nothing to look up the Purchase Rights Registration History related to the entered stock code.

Step 3: Click the “Search” button to display the search information according to the criteria.

3.4 Settings

3.4.1 Personal

3.4.1.1 Personal information

Display basic account information

PERSONAL INFORMATION	
Full name	TAI KHOAN UAT 040C696989
Paper No.	036075002747
Issued date	23/09/2016
Issued at	Cục CS ĐKQL CT và DLQG về DC
Birthday	20/10/1975
Gender	Male
Home address	19 Nguyen Trai, Thanh Xuan, Ha Noi
Phone number sms	
Phone number to receive verification code	
Email	alert_uat@eves.com.vn
Broker name	ae_hoalq - Bùi Trung Thắng - GĐKV ĐCV

3.4.1.2 Change password

CHANGE PASSWORD

Old password

New password

Retype new password

Requirements: New password must include a minimum of 8 characters in which numbers and letters and special characters are required

- Old password: Enter the password the customer is using to access the system
- New password: Enter the new password that the customer wants to change according to the rules
- Retype new password: Re-enter the new password must match the new password just entered
- Click Next to change Password

Note: The new password cannot match the current PIN.

3.4.1.3 Change PIN

CHANGE PIN

Old PIN

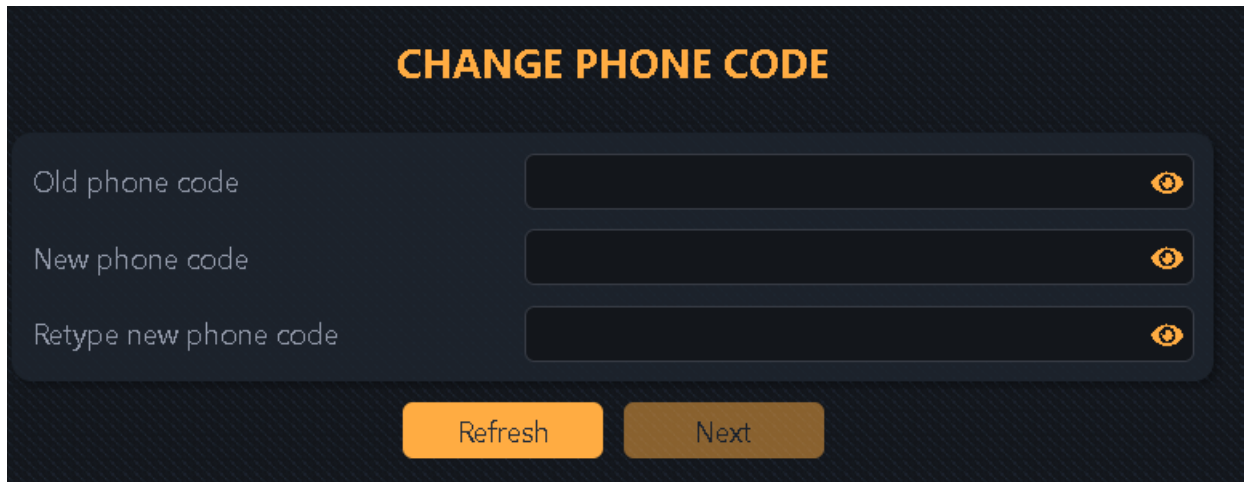
New PIN

Retype new PIN

- Old PIN: Enter the PIN the customer is using
- New PIN: Enter the new PIN the customer wants to change according to the rules
- Retype new PIN: Re-enter new PIN must match new PIN just entered Click Accept to change PIN

3.4.1.4 Change phone code

Phone code is the password you register to use for placing orders/making requests via phone. To change the Phone code, please follow these steps:



CHANGE PHONE CODE

Old phone code

New phone code

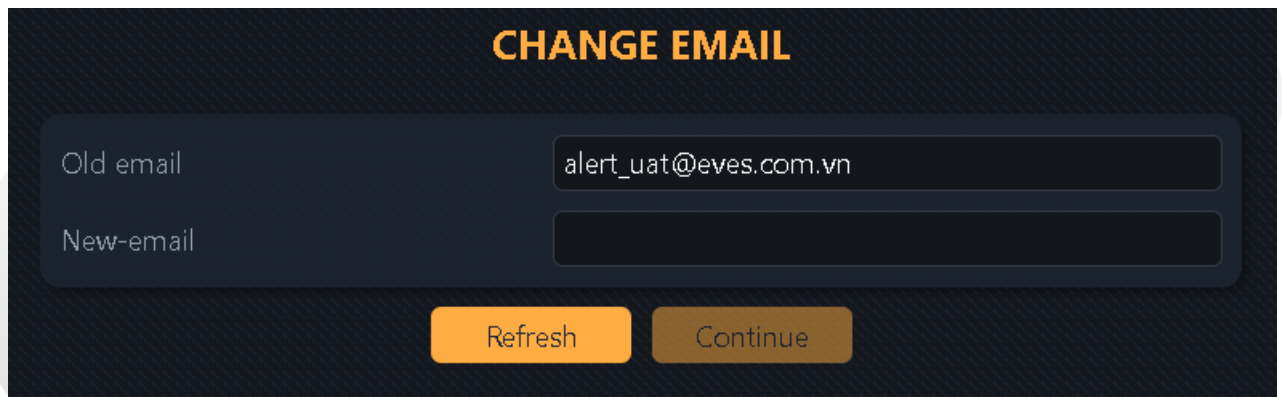
Retype new phone code

Refresh **Next**

Step 1: Enter the old Phone code. Enter the new Phone code. Re-enter the new Phone code.

Step 2: Press Next to complete the Phone code change.

3.4.1.5 *Change email*



CHANGE EMAIL

Old email

New-email

Refresh **Continue**

Step 1: Enter new email

Step 2: Click Continue

SECURITIES

3.4.1.6 Change contact address

Step 1: Enter new contact address

Step 2: Click Continue

3.4.1.7 Sign up for SMS service

Customers select “Next” to register for the service.

3.4.1.8 Unregister from SMS service

Customer selects “Next” to cancel service

3.4.2 Lookup

3.4.2.1 Search change contact infor

Customers select From date, To date according to the time they want to search, select the Search button to view information

SEARCH CHANGED CONTACT INFO

From date

To date

Q Search

Change Date	AccountID	SMS phone	Old SMS phone	Contact phone	Old Contact phone	Via
No data to display						

3.4.2.2 Login history

Customers select From date, To date according to the time they want to search, select the Search button to view information as shown below

LOGIN HISTORY

From date

To date

Q Search

Login Time	Logout Time	IP Address	Operating System	Browser
09/09/2024 16:45:30		10.10.33.153	Windows 10	Chrome 128
09/09/2024 16:35:49	09/09/2024 16:43:45	10.10.33.153	Windows 10	Chrome 128
09/09/2024 16:27:41	09/09/2024 16:34:31	10.10.33.153	Windows 10	Chrome 128

3.4.2.3 Exported report





EXPORTED REPORTS

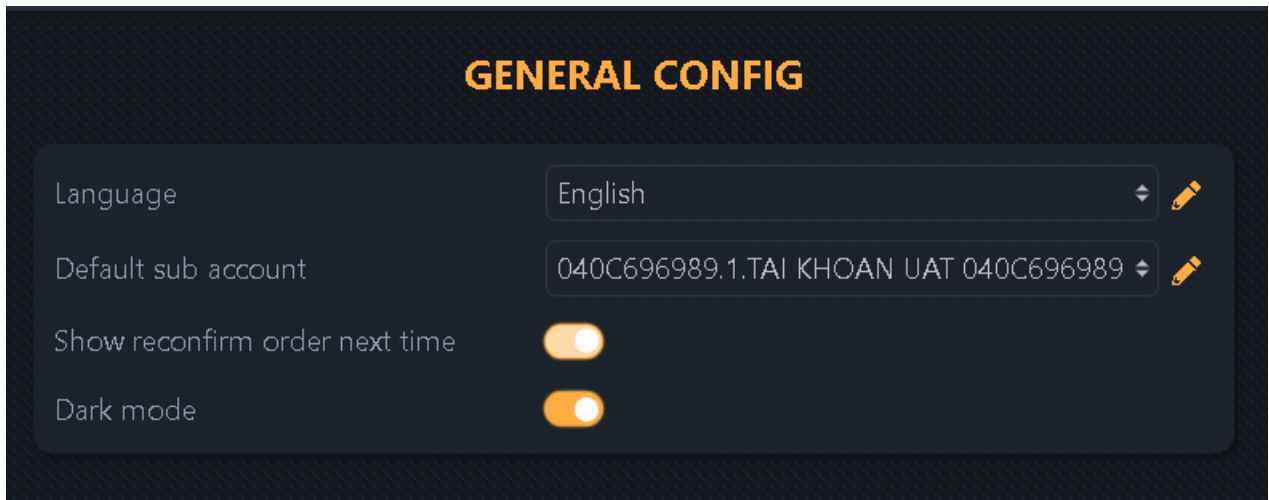
Id	Name	File type	Created time
No data to display			

3.4.3 Configuration

3.4.3.1 General config

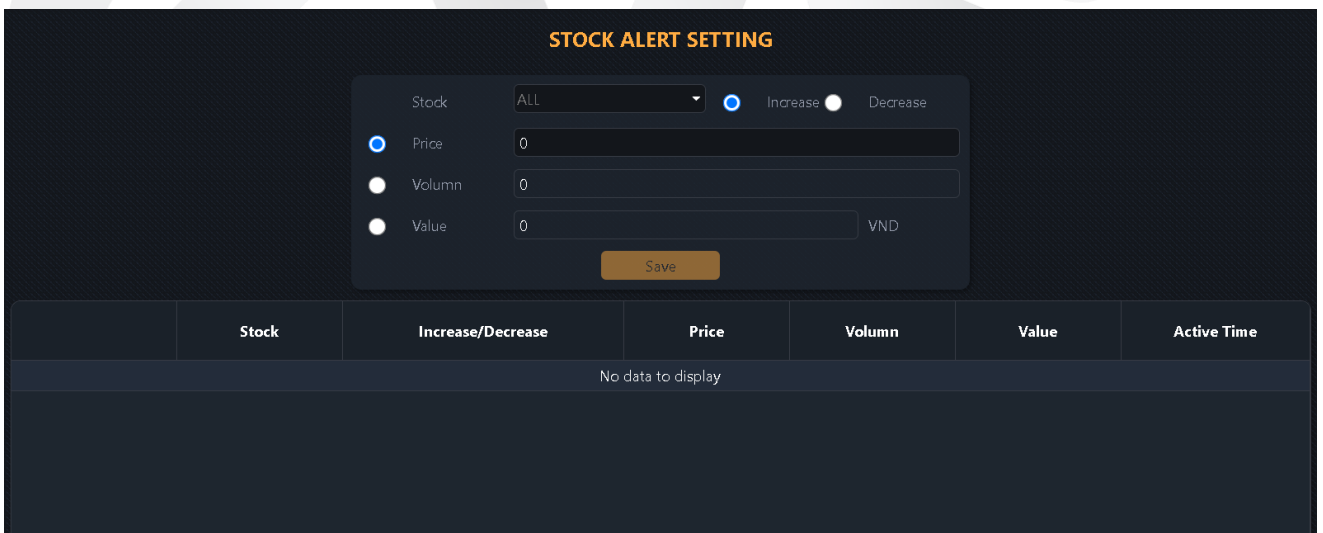
General configuration allows setting:

- Language: Click on the icon  to change the language, select the language to change, then click  to save the settings.
- Default sub-account: Click on the icon  to change the default sub-account, select the sub-account to change, then click  to save the settings.



3.4.3.2 Smart Alerts

*** Stock alerts setting:**



Step 1: Enter the Stock code. Select “increase” or “decrease”

Step 2: Enter the alert price

Step 3: Press “Save”

*** Market index alerts setting:**

MARKET INDEX ALERT SETTING

Index: VN Index Increase Decrease

To (index): 0

Save

Index	Increase/Decrease	To (index)	Active Time
No data to display			

Step 1: Select the index. Select “increase” or “decrease”

Step 2: Enter the alert volume

Step 3: Press “Save”

*** Index alert setting:**

INDEX ALERT SETTING

Index: HOSE Increase Decrease

Volume: 0

Value: 0 VND

Save

Index	Increase/Decrease	Volume	Value	Active Time
No data to display				

Step 1: Select the index. Select “increase” or “decrease”

Step 2: Enter the field to index

Step 3: Press “Save”



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